

The asymptotic structure of gravity

Part I: General Considerations

Marc Henneaux

Iriri - 7 April 2025

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The purpose of this minicourse is to answer the question :

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The purpose of this minicourse is to answer the question :
What is the structure of asymptotically flat spacetimes at spatial
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The purpose of this minicourse is to answer the question :

What is the structure of asymptotically flat spacetimes at spatial infinity?

Studies at null infinity have shown that the asymptotic symmetry group of gravity in that context is infinite-dimensional.

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It is called the "BMS group" (Bondi-Metzner-Sachs).

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This group was shown by Strominger to have an important physical significance (Ward identities and soft theorems, memory effect).

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If the BMS group is indeed a symmetry of the theory, it should also be seen at spatial infinity, in an analysis performed on Cauchy hypersurfaces.

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If the BMS group is indeed a symmetry of the theory, it should also be seen at spatial infinity, in an analysis performed on Cauchy hypersurfaces.

However, earlier studies failed to reveal it, and it is only recently that the BMS symmetry and the BMS charges have been exhibited at spatial infinity.

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These lectures will explain these recent results and discuss their connection with null infinity.

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(Main collaborators : Oscar Fuentealba and Cédric Troessaert)

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Consider a finite-dimensional system with canonical variables (q^i, p_i) and Hamiltonian H .

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Its action in Hamiltonian form reads

$$S[q^i(t), p_i(t)] = \int dt (p_i \dot{q}^i - H)$$

(q^i fixed at the time boundaries).

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The kinetic term $p_i \dot{q}^i dt = p_i d_V q^i \equiv a$ defines the (closed) symplectic form $\Omega = d_V a$, explicitly,

$$\Omega = d_V p_i \wedge d_V q^i, \quad d_V \Omega = 0,$$

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from which the whole canonical structure derives.

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A Hamiltonian vector field X is a vector field (in phase space) that leaves the symplectic form invariant, $\mathcal{L}_X \Omega = 0$.

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Since $\mathcal{L}_X\Omega = (d_V\iota_X + \iota_X d_V)\Omega$, one has $d_V\iota_X\Omega = 0$

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Since $\mathcal{L}_X\Omega = (d_V\iota_X + \iota_X d_V)\Omega$, one has $d_V\iota_X\Omega = 0$

and thus there exists a function F_X such that $\iota_X\Omega = -d_VF_X$.

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This function is determined up to a constant.

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The Hamiltonian vector field X ,

$$X = Q^i \frac{\partial}{\partial q^i} + P_i \frac{\partial}{\partial p_i}$$

defines a canonical transformation,

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since the condition $\iota_X \Omega = -d_V F_X$ with $\Omega = d_V p_i \wedge d_V q^i$ reads explicitly

$$-Q^i d_V p_i + P_i d_V q^i = -\frac{\partial F_X}{\partial q^i} d_V q^i - \frac{\partial F_X}{\partial p_i} d_V p_i.$$

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F_X is the generator of the transformation. (Note that any smooth F defines a canonical transformation, $F = F_X$.)

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A phase space transformation that leaves the action invariant up to boundary terms at the time boundaries

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F_X is the generator of the transformation. (Note that any smooth F defines a canonical transformation, $F = F_X$.)

A phase space transformation that leaves the action invariant up to boundary terms at the time boundaries

is automatically a canonical transformation.

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The same considerations apply to local field theory,

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The same considerations apply to local field theory,
but in the presence of boundaries in space (which can be at
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The same considerations apply to local field theory,
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this might not be the case in field theory.

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Example of electromagnetism.

The symplectic form in the (restricted) phase space (A_i, π^i) is ($\wedge$ omitted)

$$\Omega = \int d^3x d_V \pi^i d_V A_i.$$

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Here,

$$A_i = \frac{\bar{A}_i}{r} + \mathcal{O}\left(\frac{1}{r^2}\right), \quad \pi^i = \frac{\bar{\pi}^i}{r^2} + \mathcal{O}\left(\frac{1}{r^3}\right).$$

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Consider $\overline{G}[\epsilon] = \int d^3x \epsilon \mathcal{G}$ with $\epsilon = \mathcal{O}(1)$ and $\mathcal{G} = -\partial_i \pi^i$.

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One has $d_V \overline{G}[\epsilon] = - \int d^3x \epsilon \partial_i d_V \pi^i = \int d^3x \partial_i \epsilon d_V \pi^i - \oint d^2S_i \epsilon d_V \pi^i$

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and

$$\iota_{X_\epsilon} \Omega = \int d^3x (\delta_\epsilon \pi^i d_V A_i - d_V \pi^i \delta_\epsilon A_i).$$

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Hence $\overline{G}[\epsilon]$ is not a well defined Hamiltonian generator. However, if we add to $\overline{G}[\epsilon]$ the surface term $\oint d^2S_i \epsilon \pi^i$,

$$G[\epsilon] = \int d^3x \epsilon \mathcal{G} + \oint d^2S_i \epsilon \pi^i,$$

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one gets $d_V G[\epsilon] = \int d^3x \partial_i \epsilon d_V \pi^i$ and thus $\iota_{X_\epsilon} \Omega = -d_V G[\epsilon]$ with $\delta_\epsilon \pi^i = 0$ and $\delta_\epsilon A_i = \partial_i \epsilon$.

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$$G[\epsilon] = \int d^3x \epsilon \mathcal{G} + \oint d^2S_i \epsilon \pi^i,$$

one gets $d_V G[\epsilon] = \int d^3x \partial_i \epsilon d_V \pi^i$ and thus $\iota_{X_\epsilon} \Omega = -d_V G[\epsilon]$ with $\delta_\epsilon \pi^i = 0$ and $\delta_\epsilon A_i = \partial_i \epsilon$.

$G[\epsilon]$ (with surface term included) is a well-defined Hamiltonian generator even if ϵ does not vanish at infinity (Regge-Teitelboim criterion (Regge-Teitelboim 1974)).

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It turns out that a more complete description of
electromagnetism needs A_0 and its conjugate π^0

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It turns out that a more complete description of
electromagnetism needs A_0 and its conjugate π^0
with

$$A_0 = \frac{\bar{A}_0}{r} + \mathcal{O}\left(\frac{1}{r^2}\right), \quad \pi_0 = \mathcal{O}\left(\frac{1}{r^k}\right).$$

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The symplectic form is

$$\Omega = \int d^3x (d_V \pi^i d_V A_i + d_V \pi^0 d_V A_0) - \oint d^2x \sqrt{\bar{\gamma}} d_V \bar{A}_r d_V \bar{A}_0.$$

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There is a surface term in the symplectic form!

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It does not contain derivatives of the fields and yet, it is not a well defined Hamiltonian generator :

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Indeed, $d_V \bar{L}[\mu] = \int d^3x \mu d_V \pi^0$ while

$$\iota_{X_\mu} \Omega = \int d^3x (\delta_\mu \pi^0 d_V A_0 - d_V \pi^0 \delta_\mu A_0) + \oint d^2x \sqrt{\bar{\gamma}} d_V \bar{A}_r \delta_\mu \bar{A}_0$$

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The bulk term in $\iota_{X_\mu} \Omega = -d_V \bar{L}[\mu]$ implies $\delta_\mu \pi^0 = 0$ and $\delta_\mu A_0 = \mu$ ($\Rightarrow \delta_\mu \bar{A}_0 = \bar{\mu}$) but there is a mismatch in the surface term if $\bar{\mu}$ does not vanish.

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$$L[\mu] = \int d^3x \mu \pi^0 - \oint d^2x \sqrt{\gamma} \bar{\mu} \bar{A}_r,$$

and involves a surface term even though the bulk term contains no derivative! (Beyond RT)

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$$\mathcal{G}_\alpha(x) \approx 0.$$

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The first class property is

$$\{\mathcal{G}_\alpha, \mathcal{G}_\beta\} \approx 0.$$

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Asymptotic symmetries are gauge transformations that leave invariant not only the boundary conditions, but also the action up to terms at the time boundaries t_1 and t_2 . They are thus canonical transformations with well-defined generators.

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What are the canonical generators $G[\epsilon^\alpha]$ of the gauge transformations?

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When the gauge parameters ϵ^α do not go to zero at infinity, this bulk integral must be supplemented by a surface term $B_{\epsilon_\infty}^\alpha$ evaluated on the 2-sphere at infinity,

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$$G[\epsilon^\alpha] = \int d^3x \epsilon^\alpha \mathcal{G}_\alpha + B_{\epsilon_\infty^\alpha}, \quad B_{\epsilon_\infty^\alpha} = \oint_{S_\infty^2} d^2S_i b^i,$$

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that is necessary to make $G[\epsilon^\alpha]$ a well-defined Hamiltonian generator.

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For electromagnetism, where the dynamical variables are the vector potential A_i and its conjugate momentum π^i , the constraint is

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$$\mathcal{G} \equiv -\partial_i \pi^i \approx 0$$

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The gauge transformations are generated by,

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yielding $\delta_\epsilon A_i = \partial_i \epsilon$.

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If the gauge parameters decrease fast enough at infinity, in a way that makes the boundary term $B_{\epsilon_\infty}^\alpha$ equal to zero, the generator $G[\epsilon^\alpha]$ weakly vanishes, $G[\epsilon^\alpha] \approx 0$.

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The corresponding transformation is called “a proper gauge transformation”. It gives a true redundancy in the description of the system (Benguria-Cordero-Teitelboim 1977).

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Because the constraints are first class, the Poisson bracket of the generators of two asymptotic symmetries is the generator of an asymptotic symmetry

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These generators fulfill the following schematic algebra :

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$$\{\text{Proper}, \text{Proper}\} = \text{Proper}$$

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$$\{\text{Improper}, \text{Improper}\} = \text{Proper} + \text{Improper}$$

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(coming with the correct surface term).

These generators fulfill the following schematic algebra :

$$\begin{aligned}\{\text{Proper}, \text{Proper}\} &= \text{Proper} \\ \{\text{Proper}, \text{Improper}\} &= \text{Proper} \\ \{\text{Improper}, \text{Improper}\} &= \text{Proper} + \text{Improper}\end{aligned}$$

The set of proper gauge symmetries form therefore an ideal.

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It follows that one can take the quotient of the algebra of all the asymptotic symmetries by the ideal of the proper ones.

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It follows that one can take the quotient of the algebra of all the asymptotic symmetries by the ideal of the proper ones.

The resulting algebra is what is called the “asymptotic symmetry algebra”.

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It follows that one can take the quotient of the algebra of all the asymptotic symmetries by the ideal of the proper ones.

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Two generators $G[\epsilon^\alpha]$ and $G[\epsilon'^\alpha]$ that differ by constraint terms, i.e., which are weakly equal,

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$$G[\epsilon^\alpha] \approx G[\epsilon'^\alpha]$$

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Two generators $G[\epsilon^\alpha]$ and $G[\epsilon'^\alpha]$ that differ by constraint terms, i.e., which are weakly equal,

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generate transformations that coincide at infinity and differ only in the bulk, by proper gauge transformations. They are thus physically equivalent.

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generate transformations that coincide at infinity and differ only in the bulk, by proper gauge transformations. They are thus physically equivalent.

The physical content of asymptotic symmetries is entirely captured by their asymptotic behaviour, hence the terminology.

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Once the proper gauge symmetries have been quotiented out,
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$$\{\text{Improper}, \text{Improper}\} = \text{Improper}.$$

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The simplest way to compute this algebra is to compute the
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We know that the accompanying weakly vanishing bulk term will
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Note that the algebra of the asymptotic symmetry generators
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Note that the algebra of the asymptotic symmetry generators
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These generators define a Poisson manifold.

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Observables O are function(al)s of the canonical variables that are invariant under proper gauge transformations.

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Observables O are function(al)s of the canonical variables that are invariant under proper gauge transformations.

They need not be invariant under improper gauge transformations.

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Observables O are function(al)s of the canonical variables that are invariant under proper gauge transformations.

They need not be invariant under improper gauge transformations.

The invariance condition is equivalent to $\{O, G[\epsilon^\alpha]\} \approx 0$ whenever $G[\epsilon^\alpha]$ defines a proper gauge transformations.

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The generators of improper gauge transformations provide therefore observables.

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The invariance condition is equivalent to $\{O, G[\epsilon^\alpha]\} \approx 0$ whenever $G[\epsilon^\alpha]$ defines a proper gauge transformations.

The generators of improper gauge transformations provide therefore observables.

This is why the energy and the other Poincaré charges are observables in gravity, even though they are not invariant under all diffeomorphisms.

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The observables that transform non-trivially under improper diffeomorphisms cannot be expressed in terms of the curvature invariants only.

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The observables that transform non-trivially under improper diffeomorphisms cannot be expressed in terms of the curvature invariants only.

They depend on the boundary conditions.

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The bracket of two conserved charges is a conserved charge.

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The bracket of two conserved charges is a conserved charge.

A set $\{Q_A(q, p)\}$ of conserved charges is a complete set (of conserved charges)

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Classical mechanics reminder

The bracket of two conserved charges is a conserved charge.

A set $\{Q_A(q, p)\}$ of conserved charges is a complete set (of conserved charges)

if any conserved charge is a function $f(Q_A)$ of these conserved charges.

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The function f need not be linear.

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The function f need not be linear.

[If p is a constant of the motion, p^2 or any function of p is also a constant of the motion but it is clearly not independent from p even though not a multiple of p .]

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If we denote by X_A the Hamiltonian vector field corresponding to Q_A , i.e. $X_A F = \{F, Q_A\}$,

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If we denote by X_A the Hamiltonian vector field corresponding to Q_A , i.e. $X_A F = \{F, Q_A\}$,

then the Hamiltonian vector field X associated with $f(Q)$ is given by

$$X = \frac{\partial f}{\partial Q^A} X_A$$

since $XF = \{F, f(Q)\} = \frac{\partial f}{\partial Q^A} \{F, Q_A\}$.

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It is a linear combination $f^A X_A$ of the vector fields X_A of the complete set,

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These phase space functions are not arbitrary, however, but must depend on the phase space variables through the Q_A 's and in such a way that X is a Hamiltonian vector field, i.e., $\frac{\partial f^A}{\partial Q^B} = \frac{\partial f^B}{\partial Q^A}$.

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($f^A dQ_A$ is exact, “integrability”)

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The brackets of two elements of a complete set is a function of the Q_A 's which might be non-linear,

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The brackets of two elements of a complete set is a function of the Q_A 's which might be non-linear,

$$\{Q_A, Q_B\} = f_{AB}(Q).$$

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When the functions f_{AB} are linear, $f_{AB}(Q) = f_{AB}^C Q_C$, the X_A 's form a Lie algebra.

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In general,

$$[X_A, X_B] = -\frac{\partial f_{AB}}{\partial Q_C} X_C.$$

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Complete set of conserved charges can be non-linearly redefined,

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In general,

$$[X_A, X_B] = -\frac{\partial f_{AB}}{\partial Q_C} X_C.$$

Complete set of conserved charges can be non-linearly redefined,

$$Q_A \rightarrow \bar{Q}_A = \bar{Q}_A(Q), \quad \det \left(\frac{\partial \bar{Q}_A}{\partial Q_B} \right) \neq 0.$$

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The Q_A 's define a Poisson manifold.

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The Q_A 's define a Poisson manifold.

The proper setting for discussing canonical forms of the brackets $\{Q_A, Q_B\}$ is that of Poisson manifolds.

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There exist theorems that generalize Darboux theorem.

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The Q_A 's define a Poisson manifold.

The proper setting for discussing canonical forms of the brackets $\{Q_A, Q_B\}$ is that of Poisson manifolds.

There exist theorems that generalize Darboux theorem.

[If f_{AB} in $\{Q_A, Q_B\} = f_{AB}(Q)$ is invertible, one can go to Darboux coordinates where $f_{AB} = \delta_{AB}$.]

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The above well-known properties of Hamiltonian systems,

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The above well-known properties of Hamiltonian systems,
apply equally well to asymptotic symmetries

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The above well-known properties of Hamiltonian systems,
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The above well-known properties of Hamiltonian systems,
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There are many examples where the Poisson bracket of two
asymptotic symmetry charges is non-linear (extended
supergravity models in 3D, higher spins in 3D, asymptotically flat
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(Note that locality is preserved.)

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(Note that locality is preserved.)

Even when the Poisson brackets are linear, one can consider
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