

# VI Escola José Plínio Baptista de Cosmologia



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## Lecture 1

Some motivations to go beyond GR

Dark energy models

## Lecture 2

Ostrogradsky instability

## Lecture 3

Screening mechanisms

Vainshtein, Chameleon, Symmetron, Dilaton

## Lecture 4

Some examples (brane models, DGP, Galileon, massive gravity, degeneration)  
and some constraints

General Relativity is based on some fundamental principles

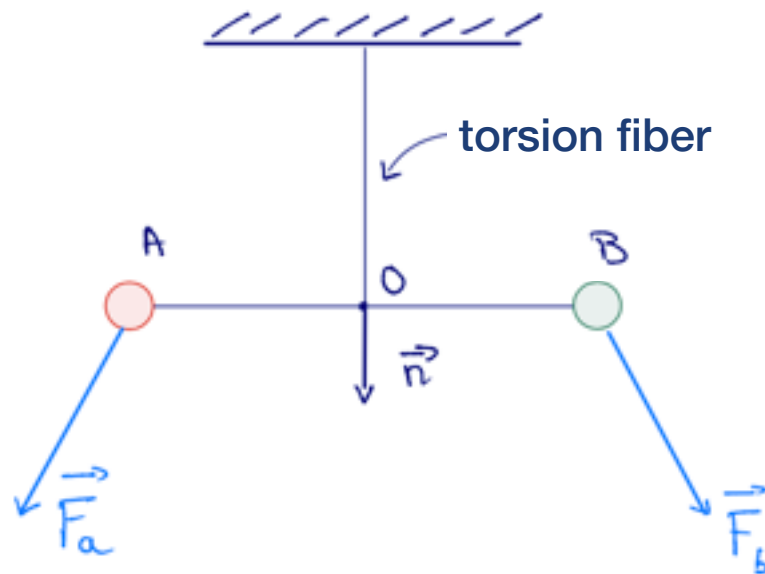
Weak Equivalence Principle

Einstein Equivalence Principle

Strong Equivalence Principle

According to Newton  $m_g = m_i$

## Eötvös experiment

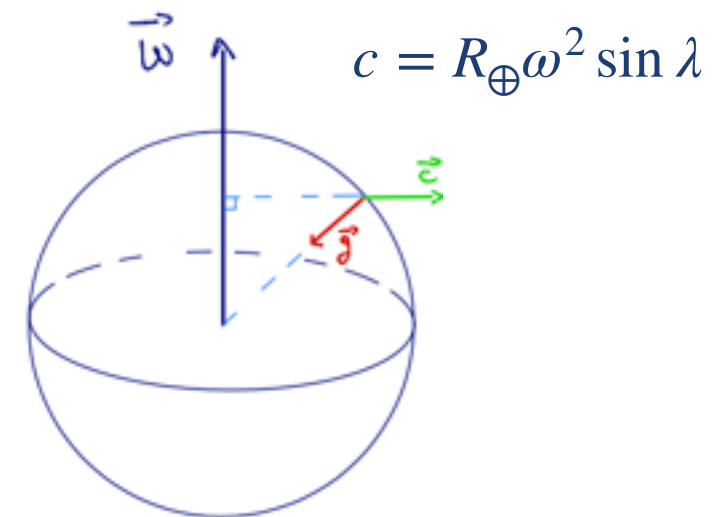


$$\vec{M} = \vec{OA} \times \vec{F}_A + \vec{OB} \times \vec{F}_B$$

$$M = \frac{1}{|\vec{F}_A + \vec{F}_B|} (\vec{OA} - \vec{OB}) \cdot (\vec{F}_A \times \vec{F}_B)$$

$$\vec{F}_A = m_{gA} \cdot \vec{g} + m_{iA} \vec{c}$$

$$\vec{F}_B = m_{gB} \cdot \vec{g} + m_{iB} \vec{c}$$



$$M \simeq \frac{m_{iA} m_{iB}}{m_{iA} + m_{iB}} \left[ \left( \frac{m_g}{m_i} \right)_A - \left( \frac{m_g}{m_i} \right)_B \right] \frac{(\vec{OA} - \vec{OB}) \cdot (\vec{g} \times \vec{c})}{|\vec{g} + \vec{c}|}$$

Eötvös parameter  $\eta$

$$|\eta| < 10^{-15}$$

MICROSCOPE

[arXiv:1712.01176](https://arxiv.org/abs/1712.01176)

# Einstein Equivalence Principle

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If  $m_g = m_i$  all forces which contributed to the mass, contributed similarly to  $m_g$  and  $m_i$

⇒ binding energy between proton and neutron contributes similarly to  $m_g$  and  $m_i$

⇒ all forces (except gravity) should contribute similarly to  $m_g$  and  $m_i$

But these forces are described by special relativity

Einstein Equivalence Principle: Weak equivalence principle + In a reference frame where gravity is negligible, forces are described by special relativity

⇒ local Lorentz invariance

⇒ local position invariance

e.g.: electric force between two charged particles is the same at any position of space and in any moving reference frame

EEP can be tested by looking if fundamental constants, change in time or in space

# Strong Equivalence Principle

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The Strong Equivalence Principle generalizes to gravity the EEP, even gravity contributes similarly to  $m_g$  and  $m_i$

So the gravitational constant should be the same everywhere in the Universe and at any epoch

Fifth force experiments ...

Modified gravity is usually equivalent to a violation of the (W/E/S)EP

The main idea is to consider is not only the result of the metric but also a scalar field

Thus, the name Scalar-Tensor theories

$$L = \sqrt{-g} \left( \phi R - \frac{\omega}{\phi} \partial_\mu \phi \partial^\mu \phi \right) + L_m$$

plays the role of the gravitational constant

dimensionless parameter  $\sim \mathcal{O}(1)$

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$

$$\phi = \bar{\phi} + \delta\phi$$

cosmological value

$$g_{00} = -1 + \frac{1}{\bar{\phi}} \frac{2\omega + 4}{2\omega + 3} \frac{2M}{r} = -1 + \frac{2G_{eff}M}{r}$$

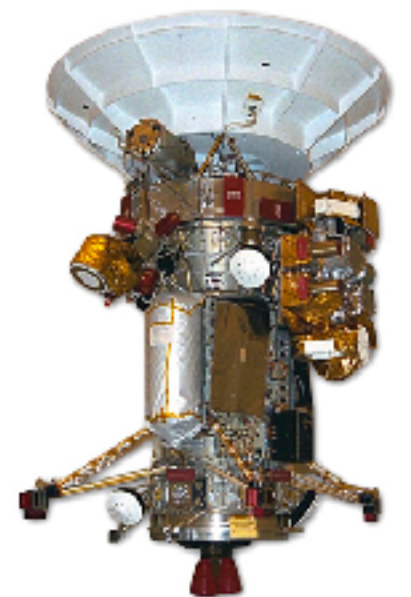
$$g_{ij} = \delta_{ij} \left( 1 + \frac{1}{\bar{\phi}} \frac{2\omega + 2}{2\omega + 3} \frac{2M}{r} \right) = \delta_{ij} \left( 1 + \frac{\omega + 1}{\omega + 2} \frac{2G_{eff}M}{r} \right)$$

$$\phi = \bar{\phi} \left( 1 + \frac{2M}{(2\omega + 3)\bar{\phi}r} \right)$$

$$G_{eff} = \frac{1}{\bar{\phi}} \frac{2\omega + 4}{2\omega + 3}$$

$$|\dot{G}_{eff}/G_{eff}| < (7.1 \pm 7.6) \times 10^{-14} \text{ yr}^{-1}$$

$$\omega > 40.000 \Leftrightarrow |\gamma - 1| < 2.3 \cdot 10^{-5}$$



LLR Class.Quant.Grav. 35 (2018) 3, 035015

constraint on the cosmological evolution of  $\phi$

$\phi$  should be constant?



# Jordan-Brans-Dicke theory

$$L = \sqrt{-g} \left( \phi R - \frac{\omega}{\phi} \partial_\mu \phi \partial^\mu \phi \right) + L_m(\Psi_m; g_{\mu\nu})$$

$$L = \sqrt{-\tilde{g}} \left( \tilde{R} - \frac{\omega + 3/2}{\phi^2} \partial_\mu \phi \partial^\mu \phi \right) + L_m(\Psi_m; \tilde{g}_{\mu\nu}/\phi)$$

$$\tilde{g}_{\mu\nu} = \phi g_{\mu\nu}$$

redefinition of the metric

redefinition of the scalar field  $\phi = e^{\beta\psi}$   $\beta = (3 + 2\omega)^{-1/2}$   $L = \sqrt{-\tilde{g}} \left( \tilde{R} - \frac{1}{2} \partial_\mu \psi \partial^\mu \psi \right) + L_m(\Psi_m; e^{-\beta\psi} \tilde{g}_{\mu\nu})$

Geodesic equation  $\ddot{x}^\sigma + \Gamma_{\mu\nu}^\sigma \dot{x}^\mu \dot{x}^\nu = 0 \iff \ddot{x}^\sigma + \tilde{\Gamma}_{\mu\nu}^\sigma \dot{x}^\mu \dot{x}^\nu - \frac{\beta}{2} \left( 2\dot{x}^\sigma \dot{x}^\mu \partial_\mu \psi + \partial^\sigma \psi \right) = 0$

weak field approximation  $\vec{F}_5 = \frac{\beta}{2} \vec{\nabla} \psi$

Non-minimal coupling  $\iff$  Fifth force

which is highly constrained



**Einstein frame vs Jordan frame**

$$S = \int d^4x \sqrt{-g} \left[ F(\phi) R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \right] + S_m[g_{\mu\nu}, \Psi]$$

**Jordan frame**

$$S = \int d^4x \sqrt{-\bar{g}} \left[ \bar{R} - \frac{1}{2} \left( \frac{1 + 3F'^2}{F^2} \right) \bar{g}^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \right] + S_m[F^{-1} g_{\mu\nu}, \Psi]$$

**Einstein frame**

$$\bar{m}(\phi) = \frac{m}{\sqrt{F}}$$

They are an infinite number of frames, are they equivalent

Of course, it's just a field redefinition

But they are infinite debates on the physical frame, which one is physical

$$ds^2 = -dt^2 + a^2(t)d\mathbf{x}^2$$

$a(t)$  the Universe is expanding

How do we observe it?

We do not observe the expansion of the Universe

We observe cosmological redshift

$$E_{obs} = \frac{E_{lab}}{1+z}$$

So in the Jordan frame, we have expansion of the Universe

Let's work with conformal time

$$ds^2 = a^2(\eta)(-d\eta^2 + d\mathbf{x}^2)$$

And perform a conformal transformation

$$g_{\mu\nu} = a^2 \bar{g}_{\mu\nu}$$

$$d\bar{s}^2 = -d\eta^2 + d\mathbf{x}^2$$

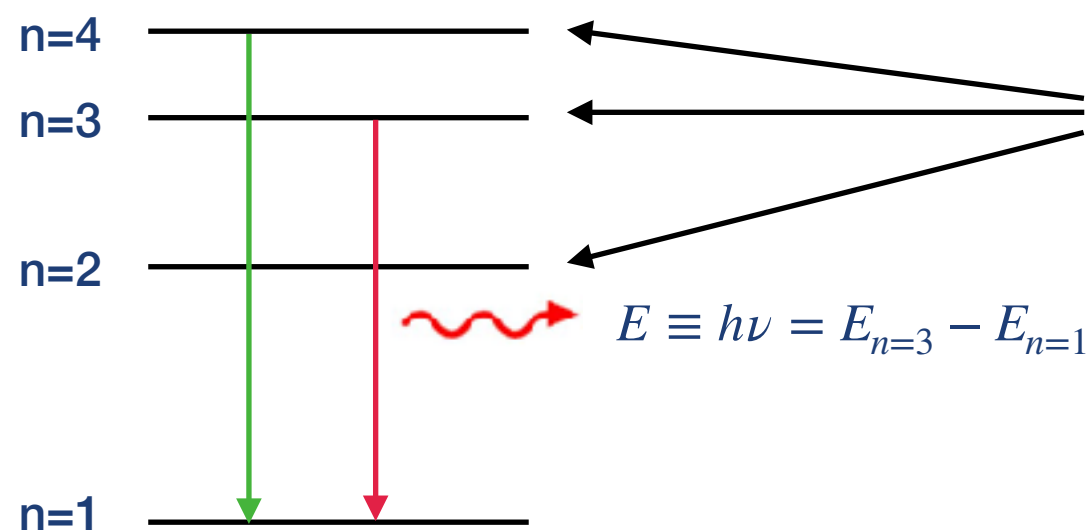
So in this frame, the Universe is static, we do not have expansion, we do not have redshift

Frames are not physically equivalent

All frames are physically equivalent

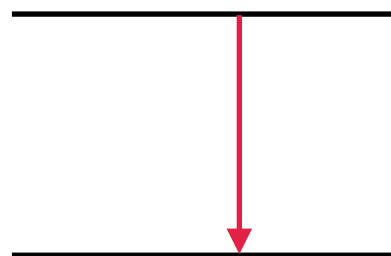
In the new frame, masses change with time

$$\bar{m}(\eta) = m a(\eta)$$



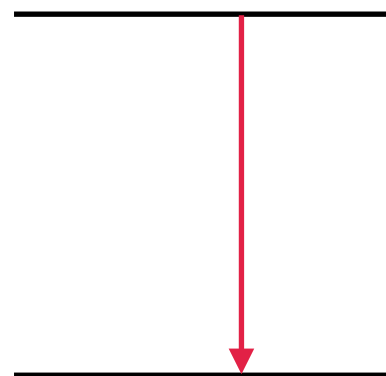
$$E_n = -\frac{m_e e^4}{2(4\pi\epsilon_0)^2 \hbar^2} \frac{1}{n^2}$$

Energy levels change with time



When photon was emitted

compared to



In the laboratory, today

The photon never lost energy,  
our reference has changed

But we observe the same,  
a redshift

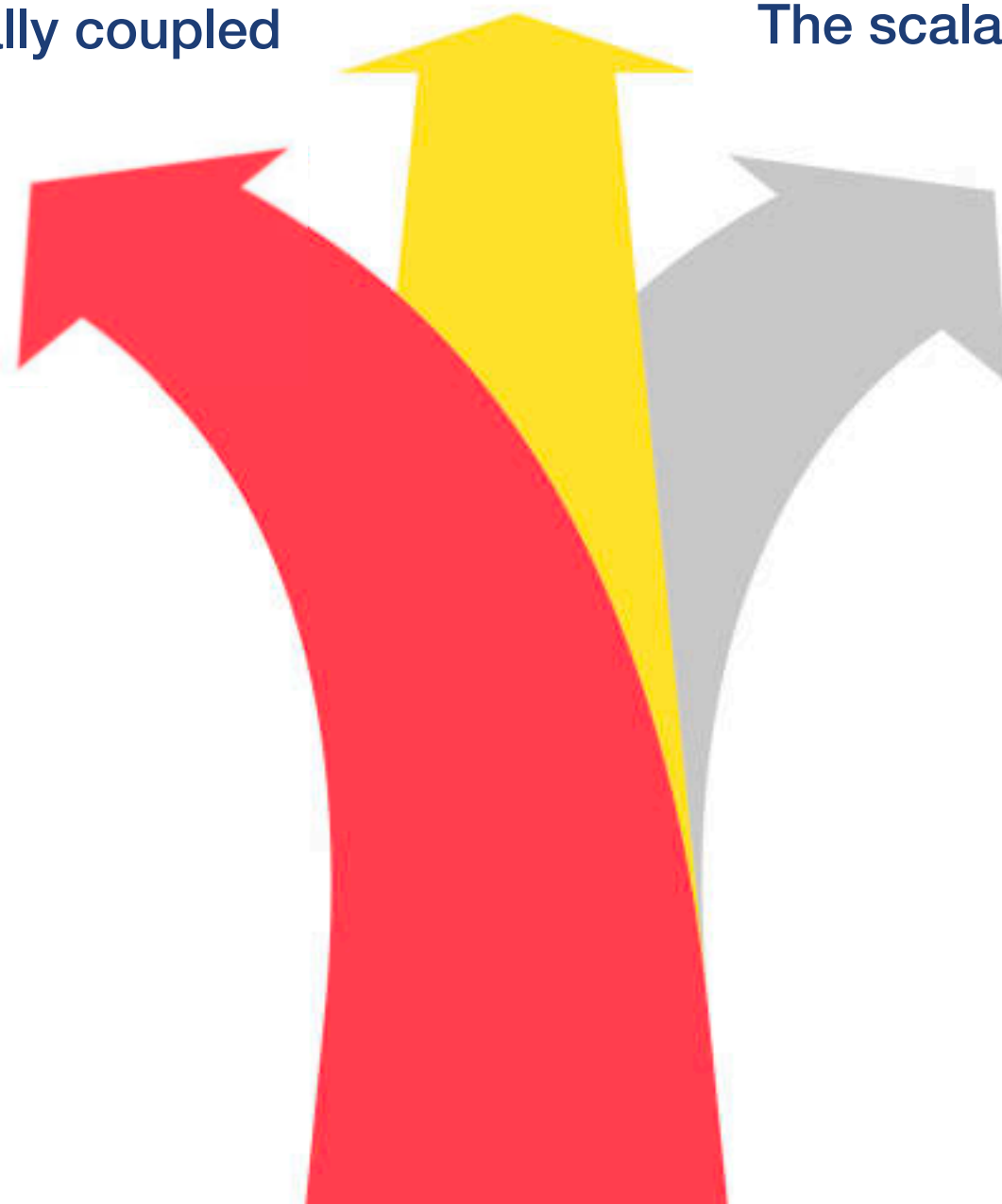
$$E_{obs} = \frac{E_{lab}}{1+z}$$

Non-minimal coupling  $\iff$  Fifth force

Standard Model

The scalar field is minimally coupled  
Dark Energy  
Inflation

The scalar field is non-minimally coupled  
Modified Gravity



Dark Energy

## Observational evidence of the acceleration of the Universe

$$ds^2 = -dt^2 + a(t)^2 (dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2)$$

## Friedmann equations

$$3H^2 = \kappa^2(\rho_m + \rho_r + \rho_\Lambda)$$

$$-2\dot{H} = \kappa^2(\rho_m + \frac{4}{3}\rho_r)$$

$$1 = \Omega_m + \Omega_r + \Omega_\Lambda$$

$$\Omega_i = \frac{\kappa^2 \rho_i}{3H^2}$$

constant

could be related to vacuum energy

$$h^2 \equiv \frac{H^2}{H_0^2} = \frac{\kappa^2 \rho_m}{3H_0^2} + \frac{\kappa^2 \rho_r}{3H_0^2} + \frac{\kappa^2 \rho_\Lambda}{3H_0^2} = \Omega_{m,0} a^{-3} + \Omega_{r,0} a^{-4} + \Omega_{\Lambda,0}$$

But  $a(t) = \frac{1}{1+z} \Rightarrow h^2 = \Omega_{m,0}(1+z)^3 + \Omega_{r,0}(1+z)^4 + \Omega_{\Lambda,0} \quad \Omega_{m,0} + \Omega_{r,0} + \Omega_{\Lambda,0} = 1$

For an object of intrinsic luminosity  $L$ , the measured energy flux  $F$  defines the luminosity distance  $d_L$  to the object

$$d_L \equiv \sqrt{\frac{L}{4\pi F}} = (1+z) \int_0^z \frac{dz'}{H(z')}$$

$$d_L = \frac{1+z}{H_0} \int_0^z \frac{dz'}{\sqrt{\Omega_{m,0}(1+z')^3 + \Omega_{r,0}(1+z')^4 + \Omega_{\Lambda,0}}}$$

$$\Omega_{m,0} + \Omega_{r,0} + \Omega_{\Lambda,0} = 1$$

$$H_0 d_L = z + \left( \Omega_{\Lambda,0} + \frac{\Omega_{m,0}}{4} \right) z^2 + \mathcal{O}(z^3)$$



# Dark energy

$$H_0 d_L = z + \left( \Omega_{\Lambda,0} + \frac{\Omega_{m,0}}{4} \right) z^2 + \mathcal{O}(z^3)$$

$$3H^2 = \kappa^2(\rho_m + \rho_r + \rho_\Lambda)$$

$$-2\dot{H} = \kappa^2(\rho_m + \frac{4}{3}\rho_r)$$

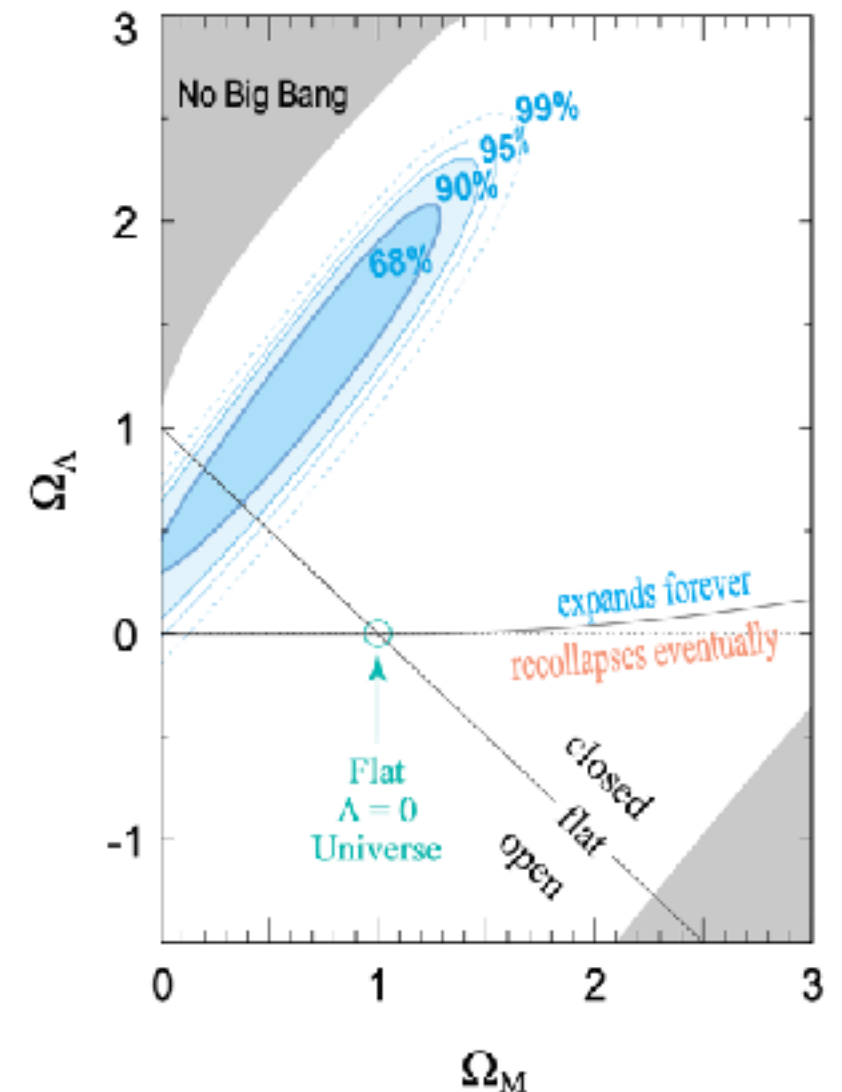
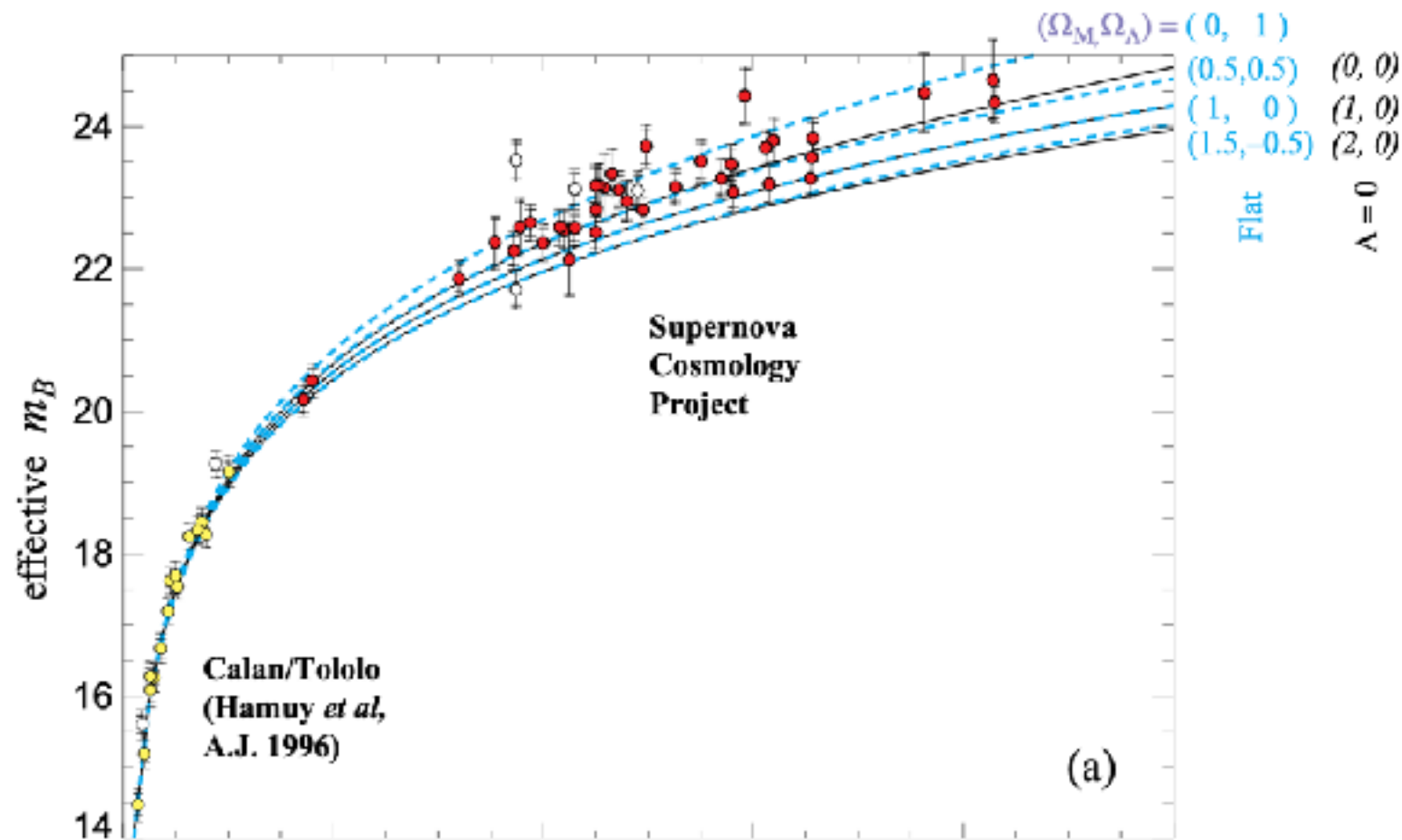
$$\Rightarrow \frac{\ddot{a}}{aH^2} = 2\left(\Omega_\Lambda + \frac{\Omega_m}{4} - \frac{1}{2}\right)$$

Acceleration means  $\Omega_\Lambda + \frac{\Omega_m}{4} - \frac{1}{2} > 0$

Supernova Search Team, A. Riess et al. [arXiv:astro-ph/9805201](https://arxiv.org/abs/astro-ph/9805201)

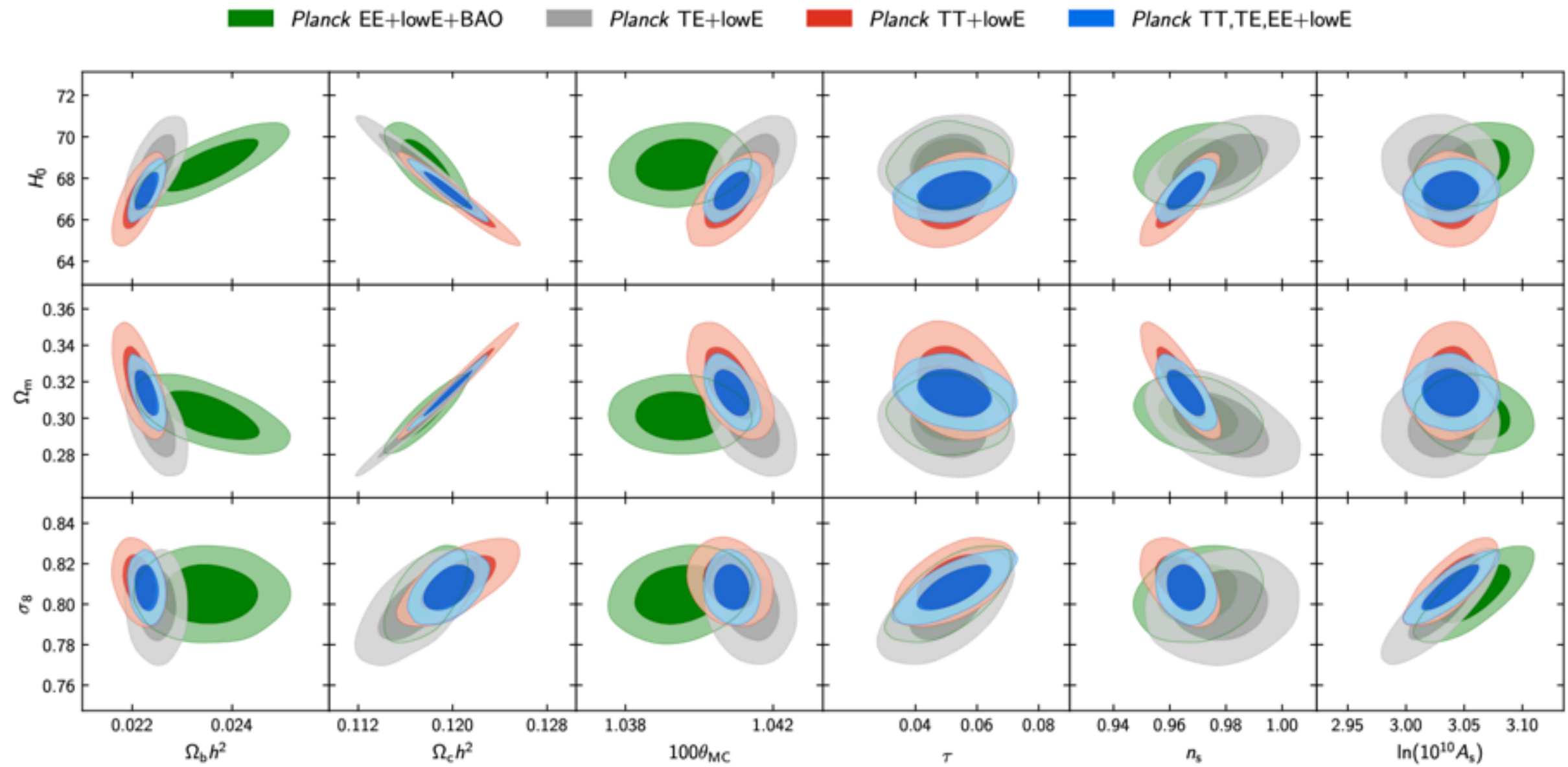
$$\Omega_\Lambda + \frac{\Omega_m}{4} - \frac{1}{2} = 1 \pm 0.4$$

Supernova Cosmology Project, S. Perlmutter et al. [arXiv:astro-ph/9812133](https://arxiv.org/abs/astro-ph/9812133)

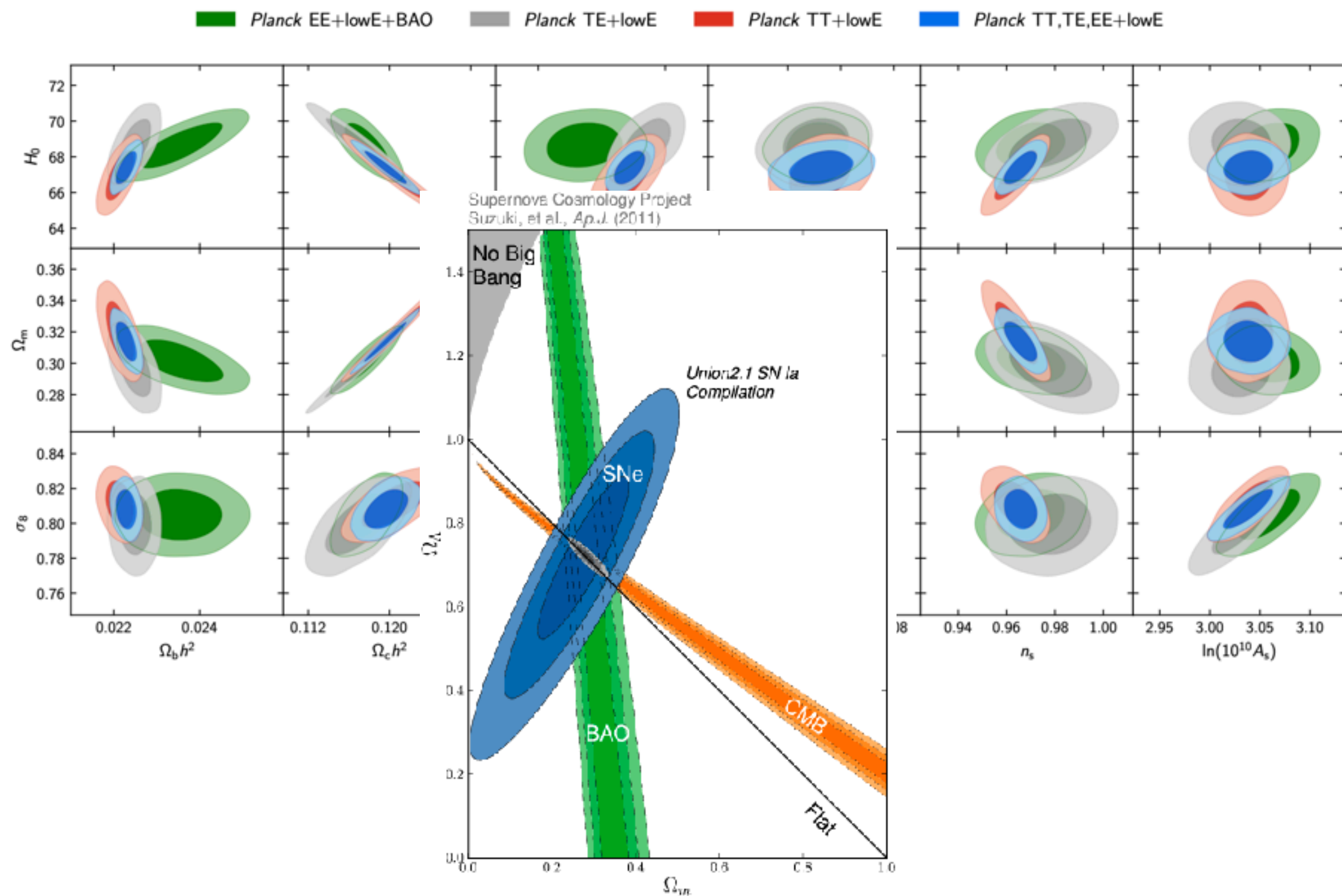




Planck 2018 [arXiv:1807.06209](https://arxiv.org/abs/1807.06209)



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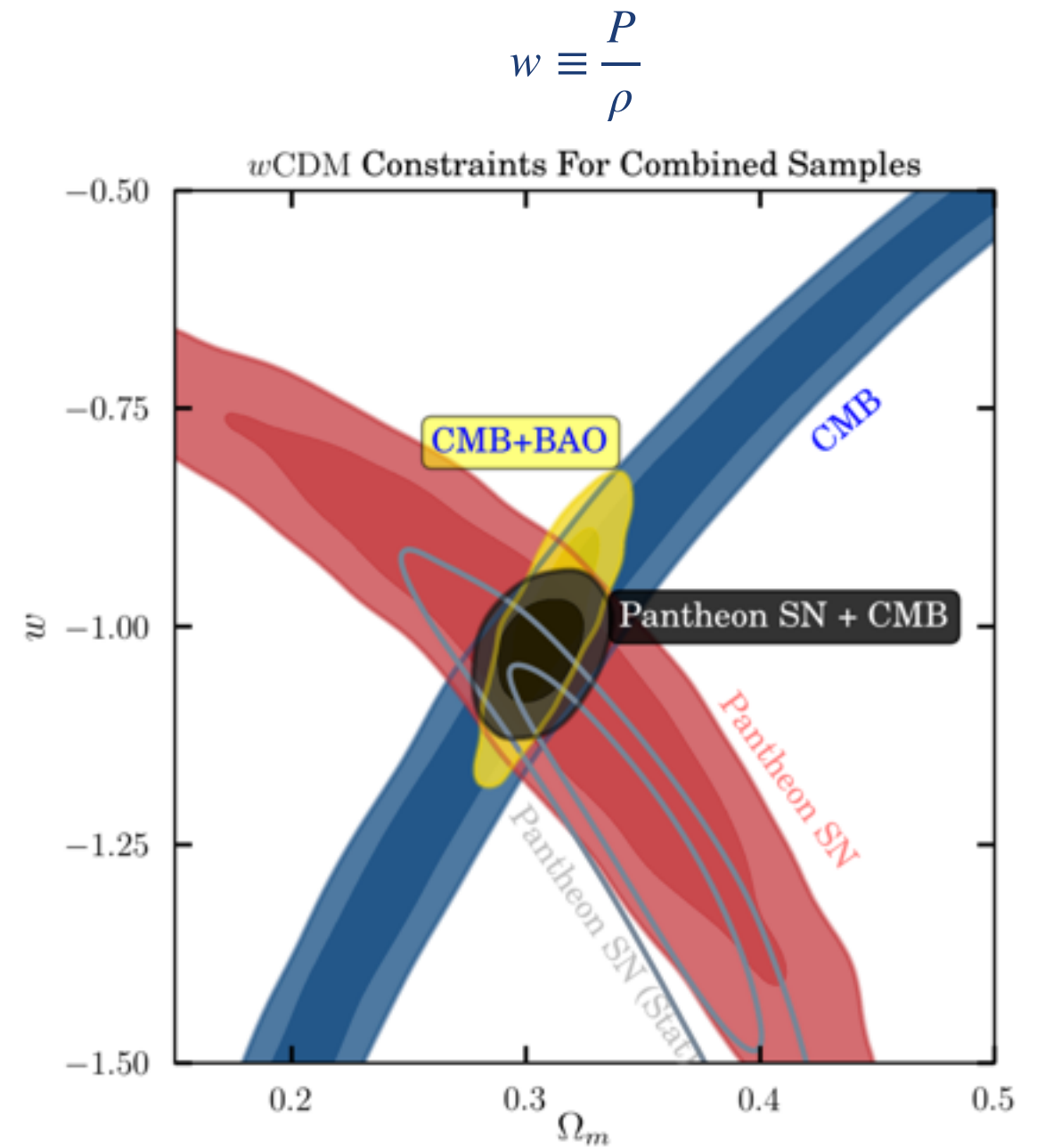
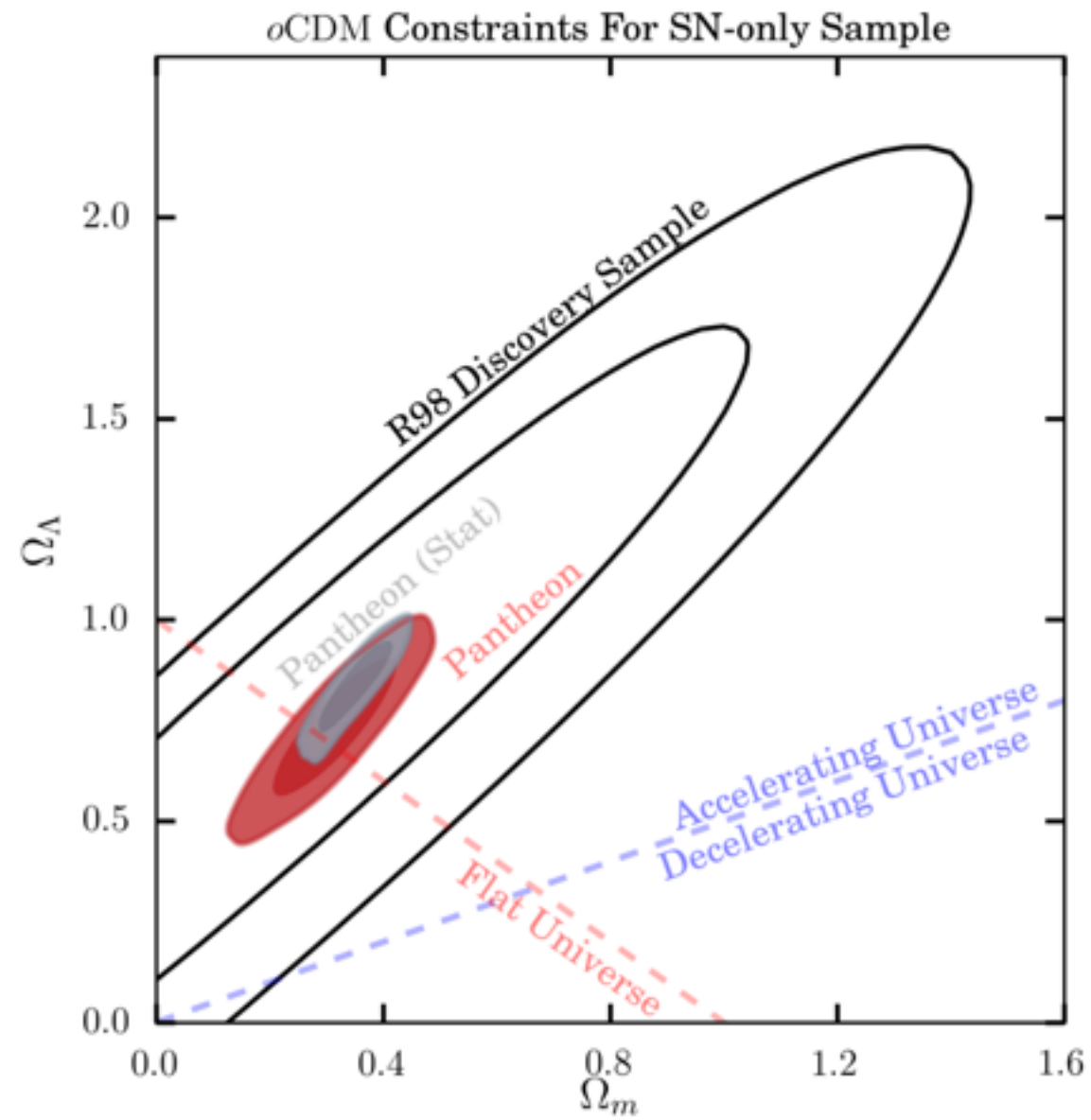


**The Standard Model is Perfect**

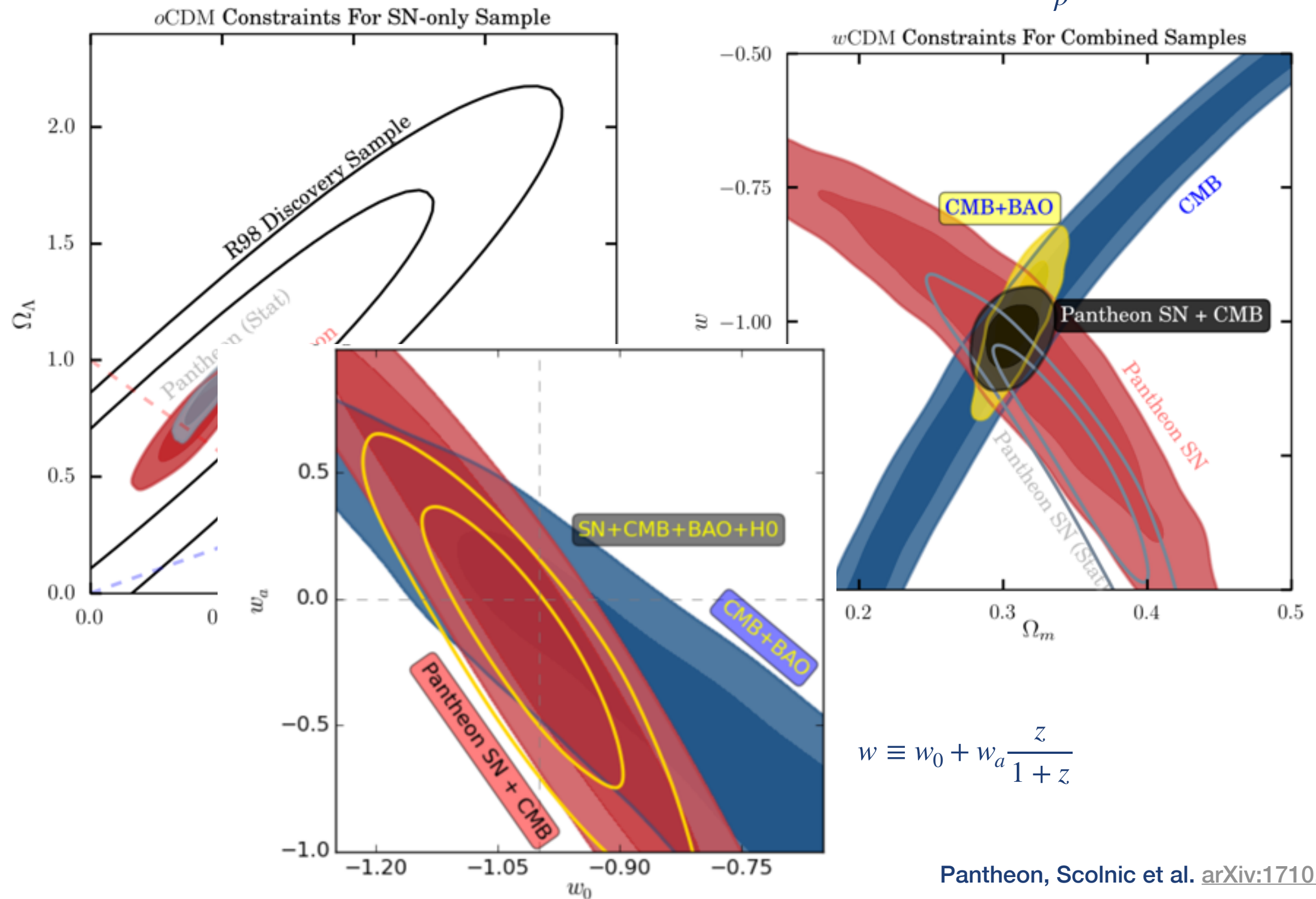
**Why to look to something else**

**Are there any problems with the cosmological constant?**

## Observational



## Observational



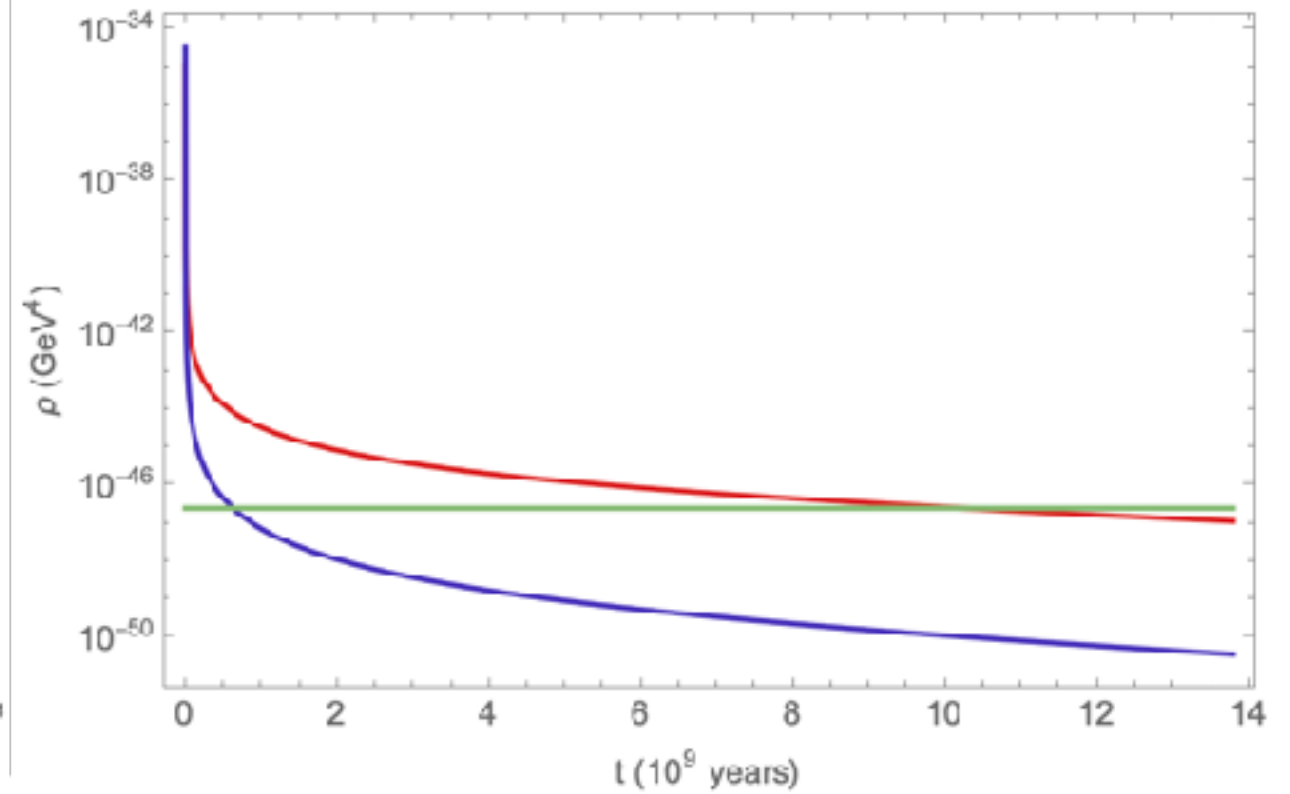
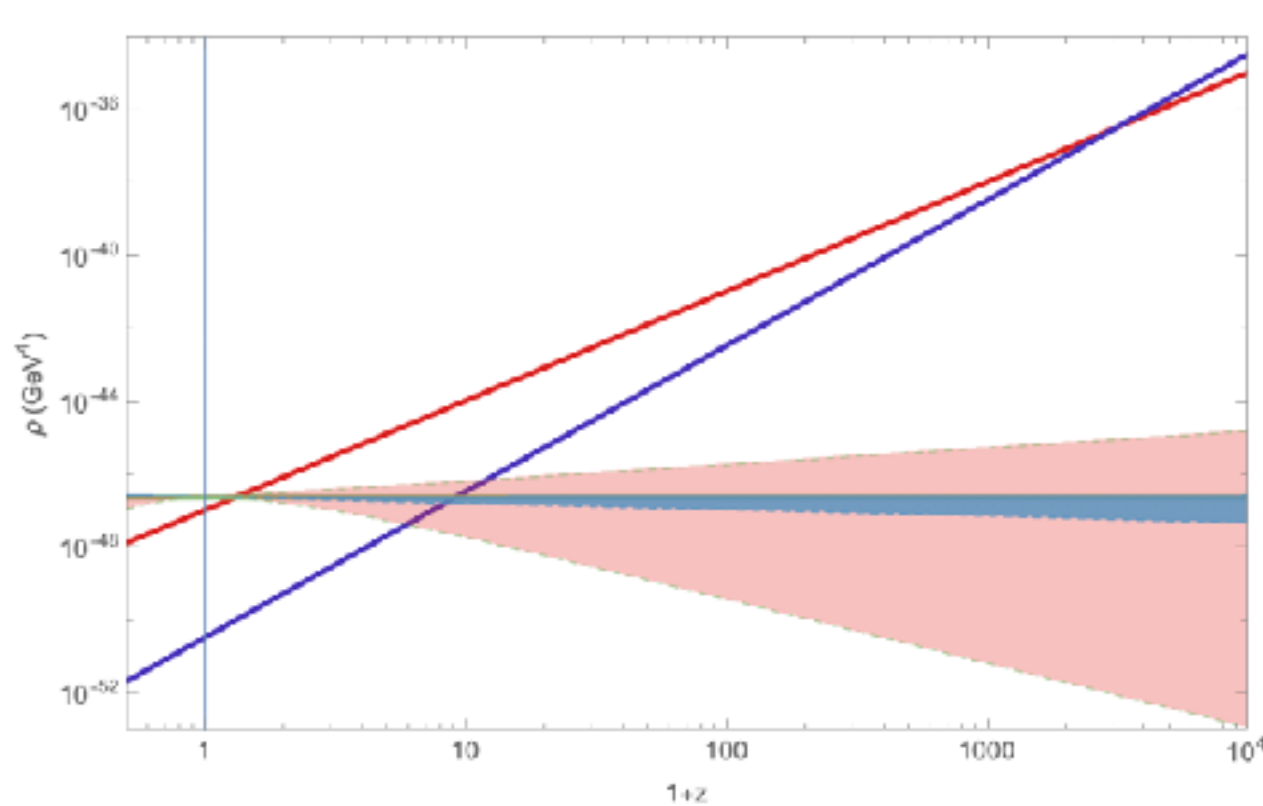
# Problems

## Theoretical

No positive cosmological constant in String Theory

Cosmological constant problem?

Coincidence problem?



Curiosity

Testing GR

Quintessence



# Dark energy

## The simplest model: Quintessence

$$L = \sqrt{-g} \left( R - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \right) + L_m(\Psi_m; g_{\mu\nu})$$

Applying this model to cosmology, we get an additional fluid

$$\rho = \frac{1}{2} \dot{\phi}^2 + V(\phi) \quad P = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

$$H^2 = \frac{\kappa^2}{3} \left( \rho_m + \rho_r + \frac{1}{2} \dot{\phi}^2 + V \right)$$

$$\Rightarrow 1 = \Omega_m + \Omega_r + x^2 + y^2$$

$$x = \frac{\kappa \dot{\phi}}{\sqrt{6}H} \quad y = \frac{\kappa \sqrt{V}}{\sqrt{3}H}$$

$$\dot{H} = -\frac{\kappa^2}{2} \left( \rho_m + \frac{4}{3} \rho_r + \dot{\phi}^2 \right)$$

If we neglect radiation, we have

Critical points

$$\begin{aligned} 2x' &= 3x^3 + \sqrt{6}y^2 \lambda - 3x(1 + y^2) \\ 2y' &= y(3 - \sqrt{6}x\lambda + 3x^2 - 3y^2) \end{aligned}$$

$\lambda = -\frac{V'}{\kappa V}$

(0,0) matter era

(±1,0) kinetic era  $w = 1$

$\left(\frac{n}{\sqrt{6}}, \sqrt{1 - \frac{n^2}{6}}\right)$  era during which  $w = -1 + n^2/3$ , exists if  $n^2 < 6$   
and stable if  $n^2 < 3$

If  $V(\phi) = V_0 e^{-n\kappa\phi} \Rightarrow \lambda = n$

$\left(\sqrt{\frac{3}{2n^2}}, \sqrt{\frac{3}{2n^2}}\right)$  scaling solution, exists if  $n^2 > 3$ , for which  $w = 0$



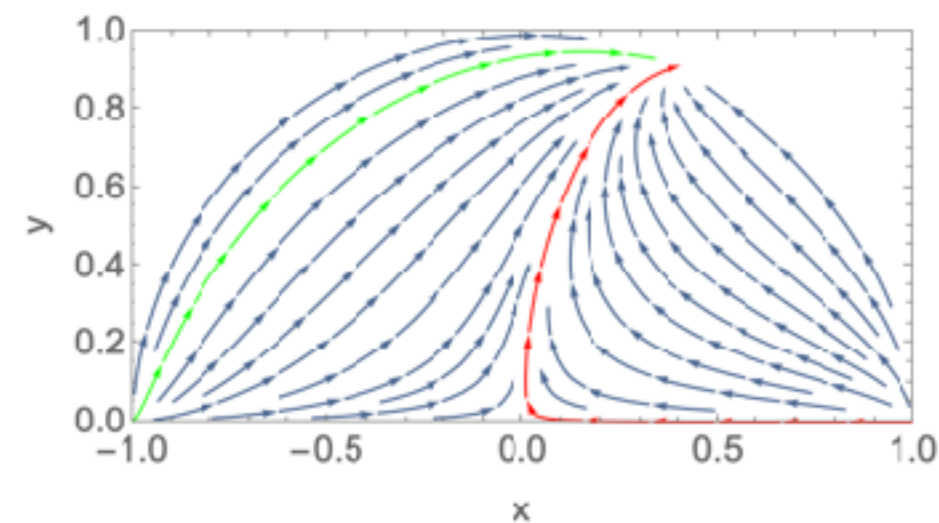
# Dark energy

(0,0) matter era

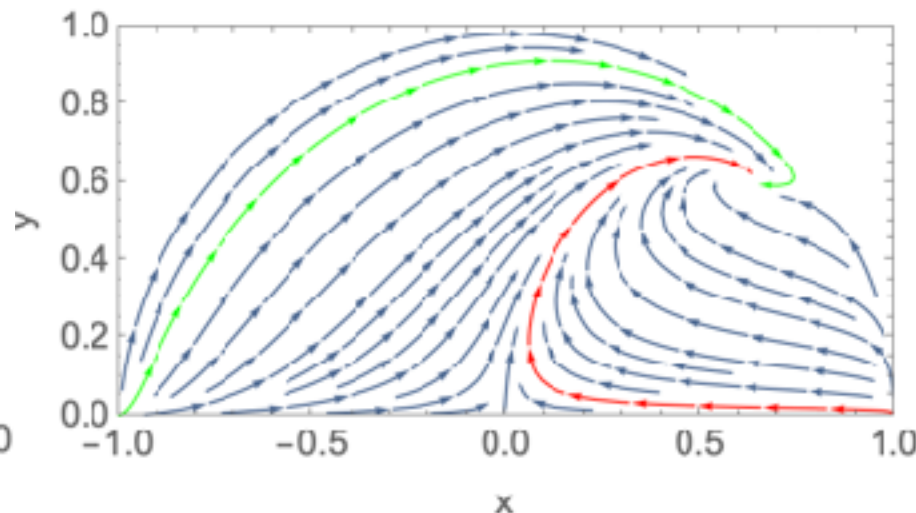
( $\pm 1,0$ ) kinetic era  $w = 1$

$(\frac{n}{\sqrt{6}}, \sqrt{1 - \frac{n^2}{6}})$  era during which  $w = -1 + n^2/3$ , exists if  $n^2 < 6$  and stable if  $n^2 < 3$ , produces acceleration only if  $n^2 < 2$

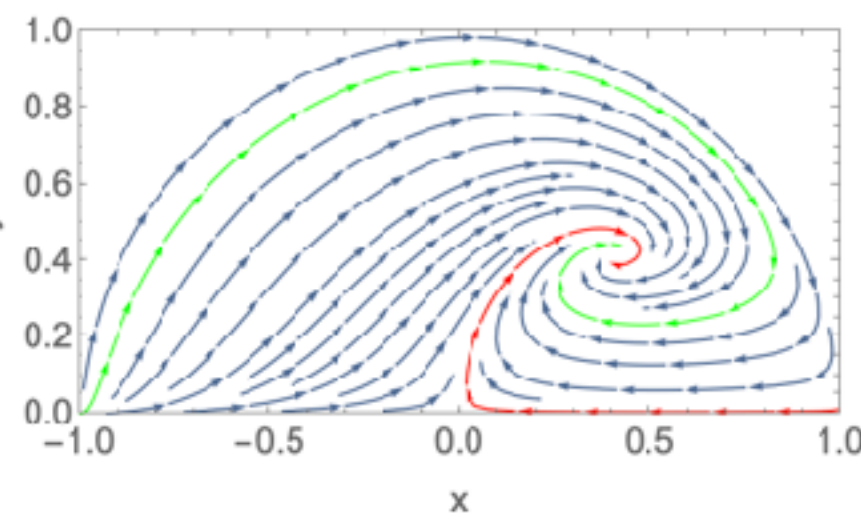
$(\sqrt{\frac{3}{2n^2}}, \sqrt{\frac{3}{2n^2}})$  scaling solution, exists if  $n^2 > 3$ , for which  $w = 0$



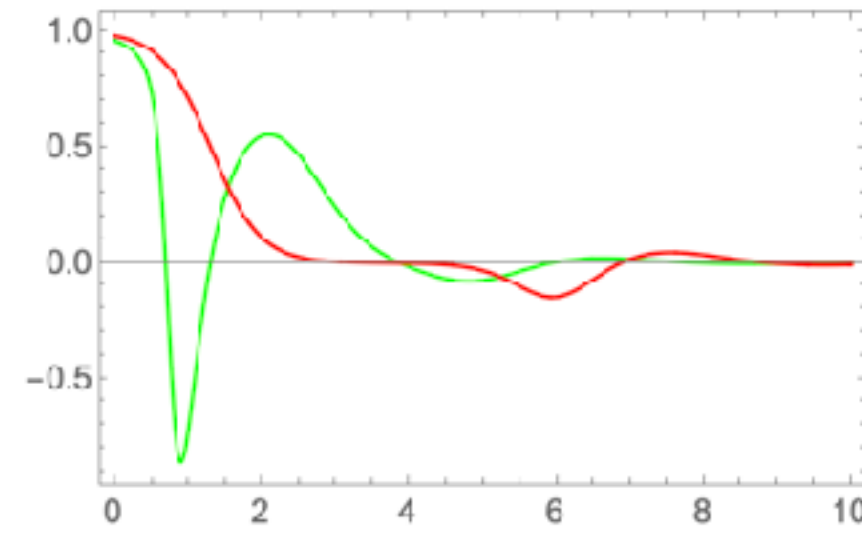
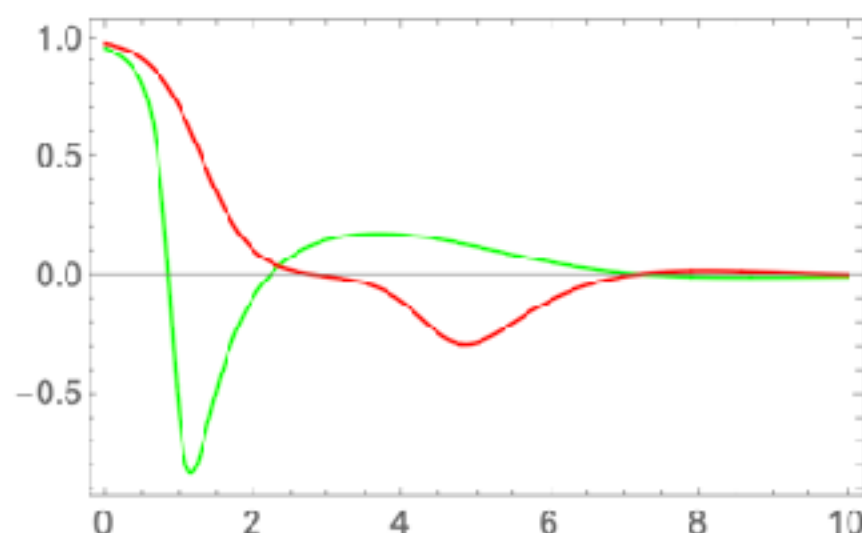
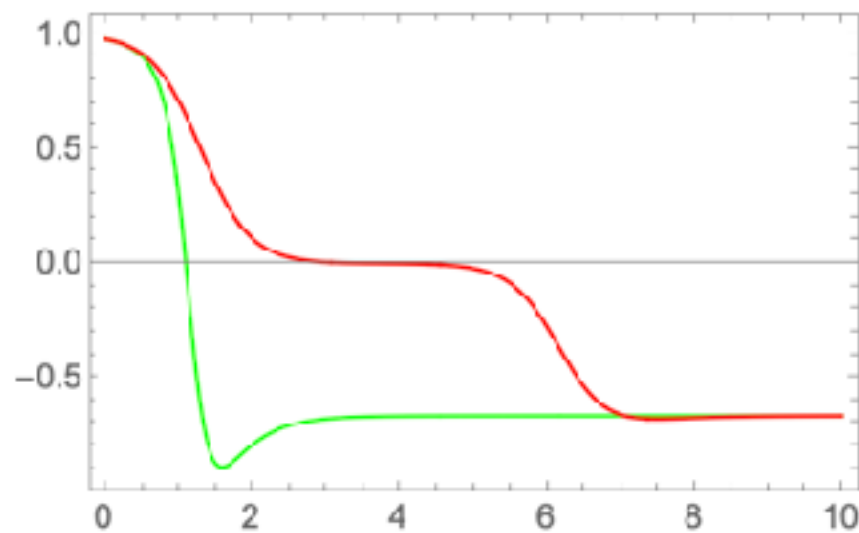
$n = 1$



$n = 2$



$n = 3$



Scaling solution

We would like the scaling solution to replace matter, so  $\lambda \gg 1$  but that would eliminate acceleration

# Dark energy

If  $\lambda$  is not constant, then we need an equation for it

$$2x' = 3x^3 + \sqrt{6}y^2\lambda - 3x(1 + y^2)$$

$$2y' = y(3 - \sqrt{6}x\lambda + 3x^2 - 3y^2)$$

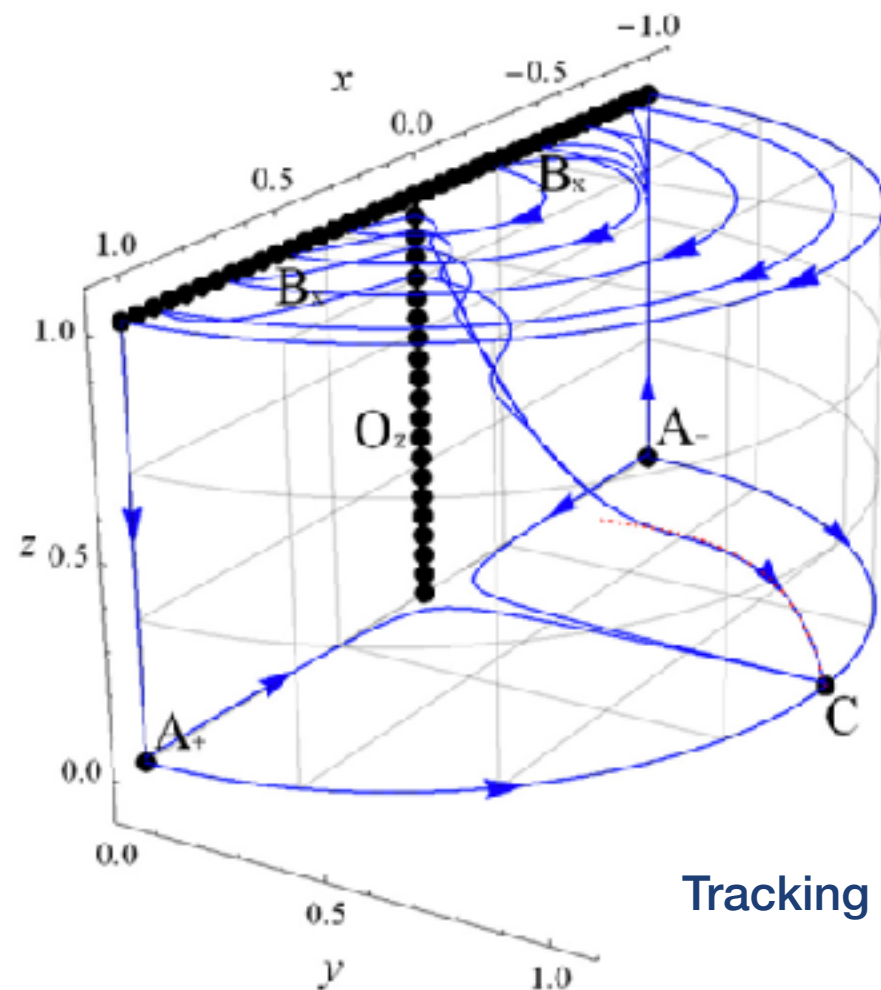
$$\lambda' = \sqrt{6}\lambda^2x(1 - \Gamma)$$

$$\Gamma = \frac{VV''}{V'^2}$$

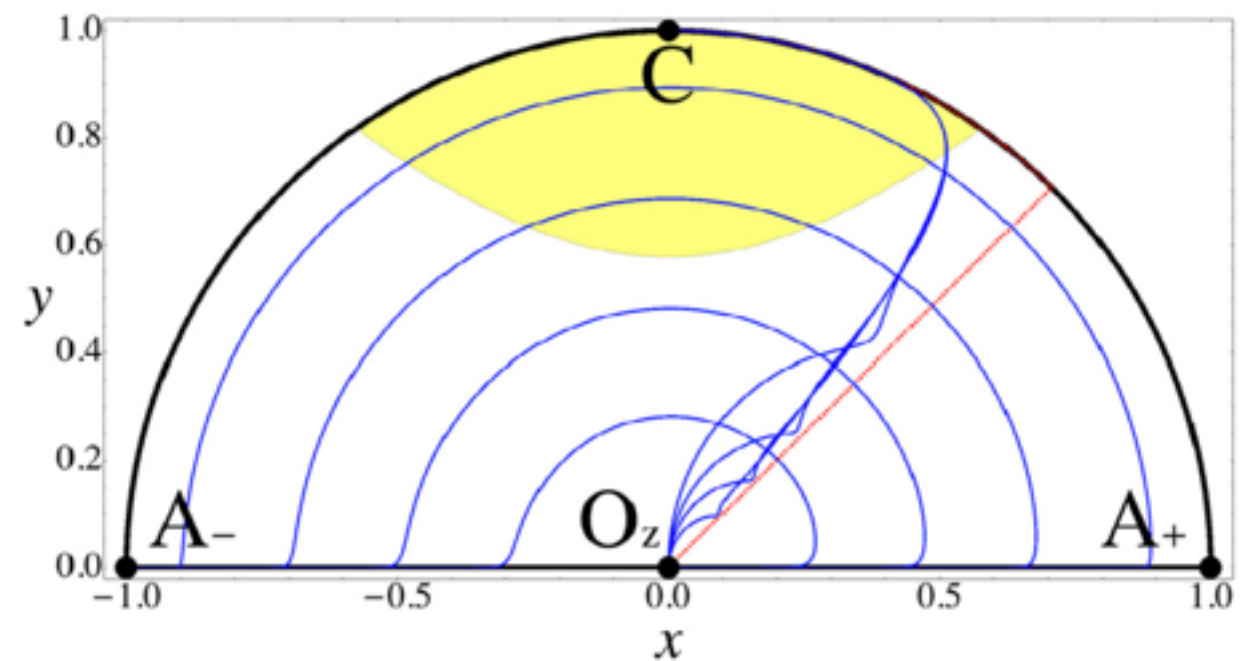
One of the first model considers the so-called Ratra-Peebles potential [Phys.Rev.D 37 \(1988\) 3406](#)

But  $\lambda \in [0, \infty[$  so we need to compactify the variable  $z = \frac{\lambda}{1 + \lambda}$

$$V(\phi) = \frac{M^{4+n}}{\phi^n} \quad \Gamma = 1 + \frac{1}{n}$$



Tracking behavior



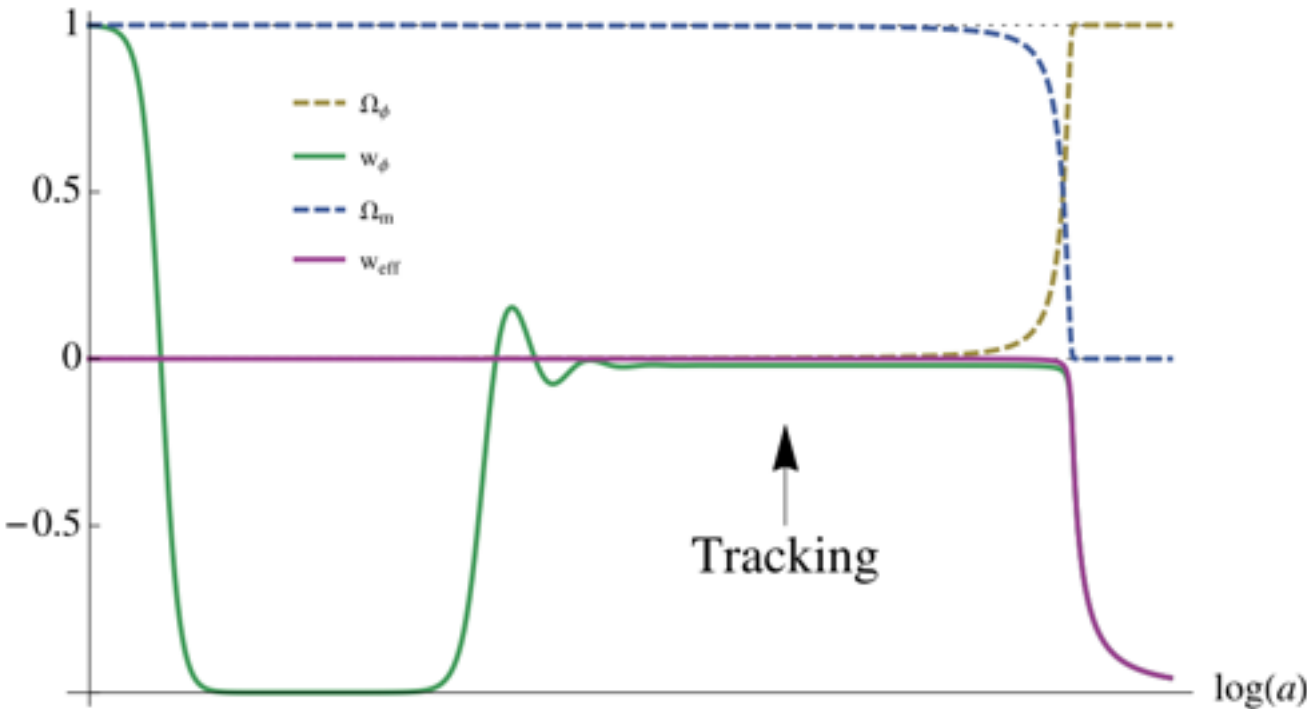
[arXiv:1712.03107](#)

# Dark energy

If  $\lambda$  is not constant, then we need an equation for it

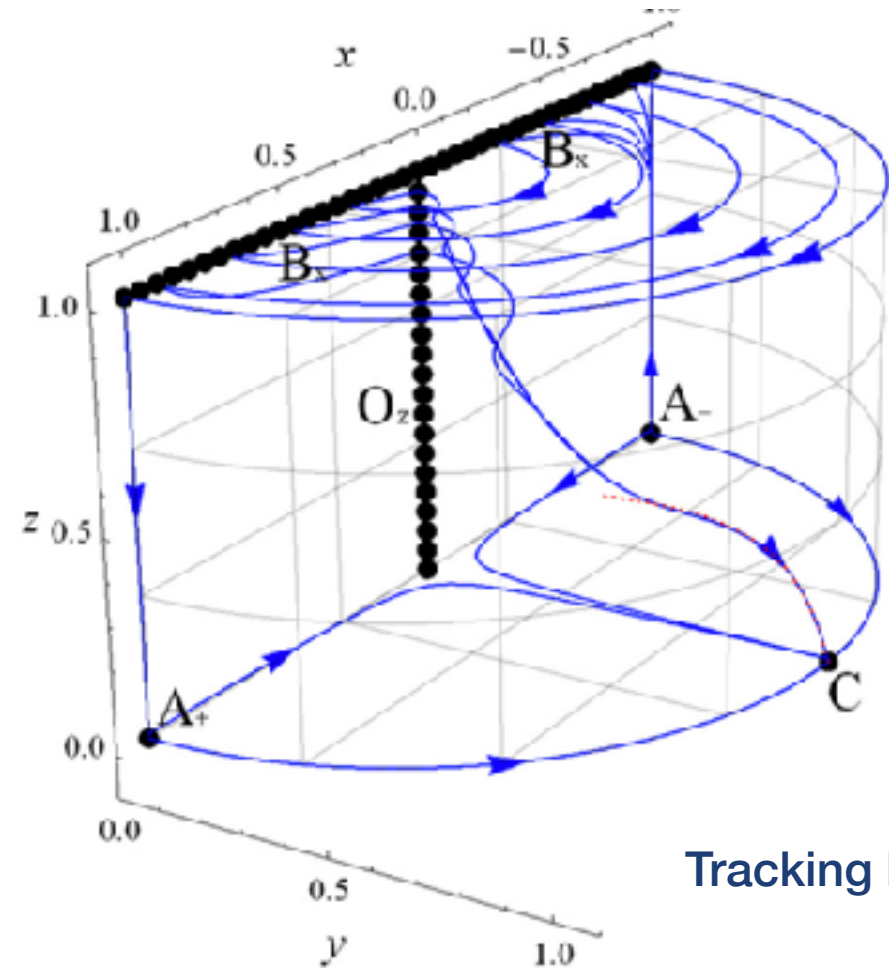
One of the first model con:

But  $\lambda \in [0,\infty[$  so we need

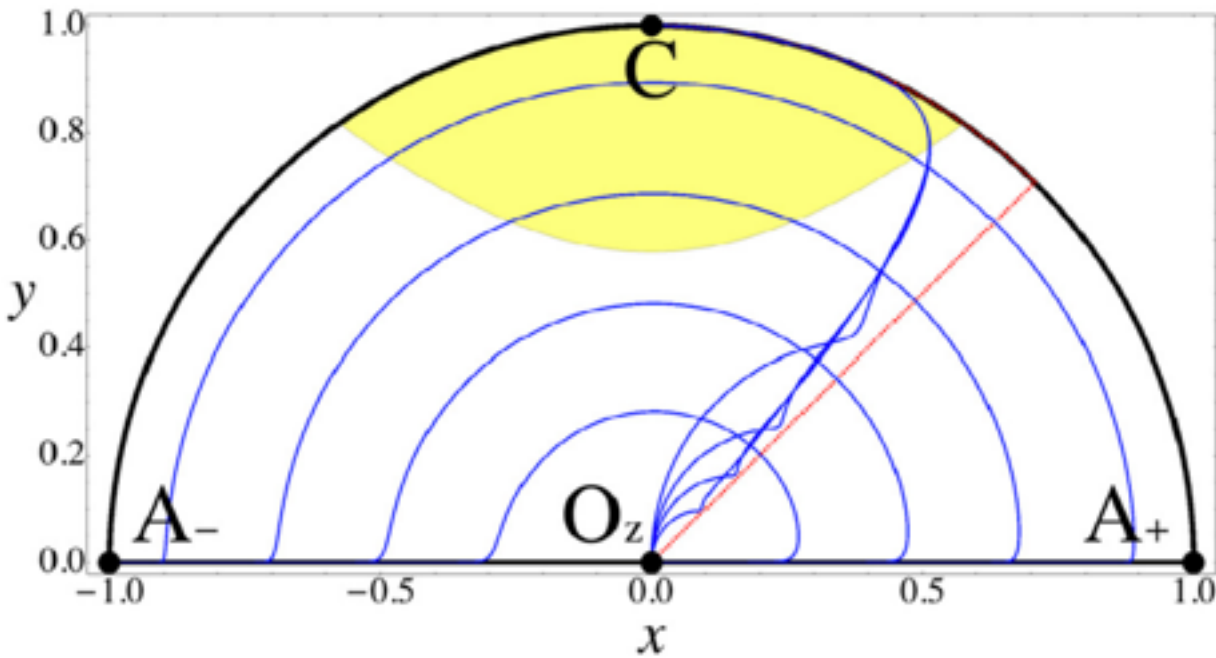


(1988) 3406

$$V(\phi) = \frac{M^{4+n}}{\phi^n} \quad \Gamma = 1 + \frac{1}{n}$$



Tracking behavior



arXiv:1712.03107

# Dark energy

Potentials which satisfy the constraint  $\Gamma = \frac{VV''}{(V')^2} \geq 1$  have a de Sitter attractor

So they are more interesting for dark energy,  $\Gamma < 1$  are used for inflation

$$w = \frac{P}{\rho} = \frac{\frac{1}{2}\dot{\phi}^2 - V(\phi)}{\frac{1}{2}\dot{\phi}^2 + V(\phi)}$$

Freezing models

Thawing models

$w$  gradually decreases to the attractor

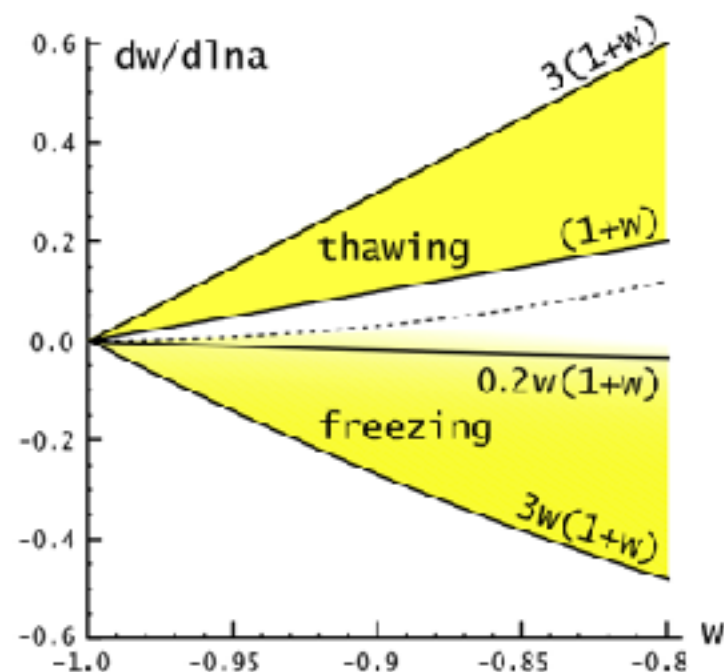
$$w = -1$$

$$V(\phi) \sim \phi^{-n}$$

The scalar field has been frozen by the friction term  $H\dot{\phi}$  in the equation for the scalar field

$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} = 0$$

$w$  starts around -1 and evolves when the mass of the scalar field becomes larger than the Hubble function  $H$



$$V(\phi) \sim V_0 + \phi^n$$

$$V(\phi) \sim \cos^2 \phi$$

## Problems with quintessence

In principle it could be detected with cosmological observations

Background metric is different from  $\Lambda$ CDM

LLS constraints

$$\delta_m = \delta\rho_m/\rho_m \qquad \delta_m'' + \frac{1}{2}(1 - 3w\Omega_\phi)\delta_m' - \frac{3}{2}\Omega_m\delta_m = 0$$

So far nothing has been observed

In order to obtain an acceleration at the right moment, one needs to fine-tune  $M$

Doesn't solve the cosmological constant problem

Might solve the coincidence problem

Not coupled to other fields, so difficult to detect locally

## Quintessence

$$L = \sqrt{-g} \left( R - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \right) \equiv \sqrt{-g} \left( R + X - V(\phi) \right)$$

$X = -\frac{1}{2} \partial_\mu \phi \partial^\mu \phi$

## K-essence

$$L = \sqrt{-g} \left( R + K(\phi, X) \right)$$

[arXiv:hep-th/9904075](#)

[arXiv:astro-ph/9912463](#)

[arXiv:astro-ph/0004134](#)

One motivation is to have acceleration without potential

It also can be motivated by higher order corrections to the action

[arXiv:hep-th/9211021](#)

Low energy effective string theory, dilaton comes with terms such as  $X^2$

[arXiv:hep-th/0203211](#)

Unstable mode D-branes

$$w = \frac{P}{\rho} = \frac{K}{2XK_{,X} - K}$$

If  $K = -X + \frac{X^2}{M^4}$  known as Ghost condensate [arXiv:hep-th/0312099](#)

$$w = \frac{1 - X/M^4}{1 - 3X/M^4}$$

we have an acceleration if  $1/2 < X/M^4 < 2/3$  today if  $M \simeq 10^{-3} \text{ eV}$



Let's consider the perturbation  $\phi = \phi(t) + \delta\phi$  in a flat background, the Hamiltonian at second order is

$$H^{(2)} = \left( K_{,X} + 2XK_{,XX} \right) \frac{(\delta\dot{\phi})^2}{2} + K_{,X} \frac{(\nabla \delta\phi)^2}{2} - K_{,\phi\phi} \frac{(\delta\phi)^2}{2}$$

Stability conditions  $K_{,X} + 2XK_{,XX} > 0 \quad K_{,X} > 0$

Speed propagation of the perturbations is  $c_s^2 = \frac{K_{,X}}{K_{,X} + 2XK_{,XX}}$

Which is positive under the stability conditions and subluminal under the condition  $K_{,XX} > 0$

[arXiv:hep-th/9904176](https://arxiv.org/abs/hep-th/9904176)

The causal structure is modified

Variation wrt  $\phi$

$$\left( K_{,X} g^{\mu\nu} - K_{,XX} \partial^\mu \phi \partial^\nu \phi \right) \partial_{\mu\nu} \phi - 2X K_{,\phi X} + K_{,\phi} = 0$$

$= \tilde{G}^{\mu\nu}(\phi, X)$  effective metric

This equation is hyperbolic if  $\tilde{G}^{\mu\nu}$  has Lorentzian signature (Leray theorem, Wald p.251)

$\Leftrightarrow c_s^2 > 0$  Hyperbolicity is identical to hamiltonian stability

Considering fluctuations around some given solution  $\phi = \phi_0 + \delta\phi$

$$\frac{1}{\sqrt{-G}} \partial_\mu \left( \sqrt{-G} G^{\mu\nu} \partial_\nu \delta\phi \right) + M_{eff}^2 \delta\phi = 0$$

[arXiv:0708.0561](#)

$$G^{\mu\nu} = \frac{c_s}{K_{,X}^2} \tilde{G}^{\mu\nu}, \quad G = \det(G_{\mu\nu}^{-1})$$

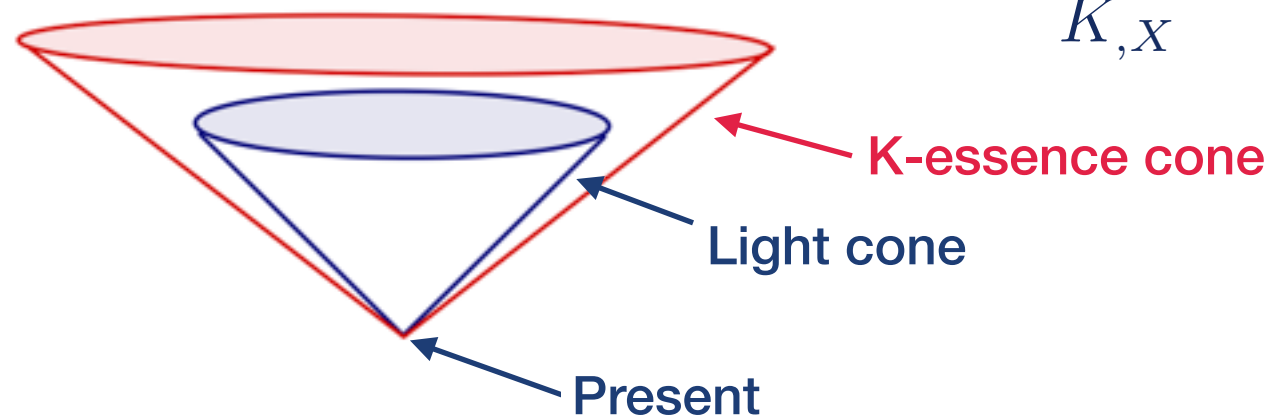
The fluctuations around the solution propagate in an emergent geometry

$$ds^2 = G_{\mu\nu}^{-1} dx^\mu dx^\nu$$

This influence cone is larger than those one determined by the metric  $g_{\mu\nu}$  if

$$\frac{K_{,XX}}{K_{,X}} < 0$$

[arXiv:gr-qc/0511158](#)

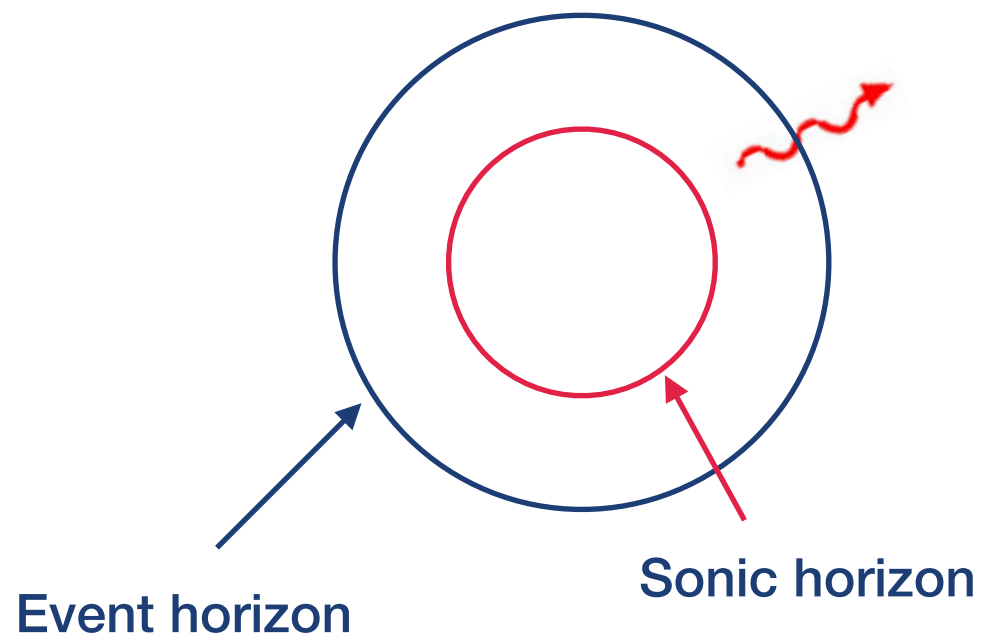




Let's consider  $K(\phi, X) = X + \alpha X^2 \equiv -\frac{1}{2}(\partial\phi)^2 + \frac{\alpha}{4}(\partial\phi)^4$

$\alpha < 0$

[arXiv:1106.2054](#)



Superluminal propagation

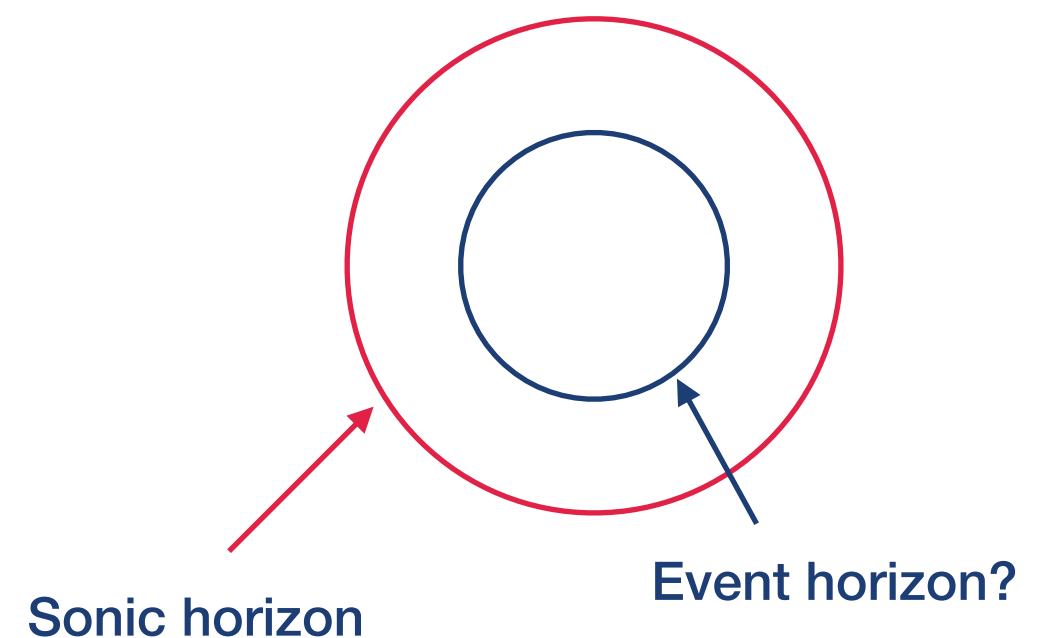
But this model violates “positivity bounds” ( $\alpha < 0$ )

[arXiv:1904.05874](#)

Conditions to be UV completed

$\alpha > 0$

[arXiv:2003.1373](#)



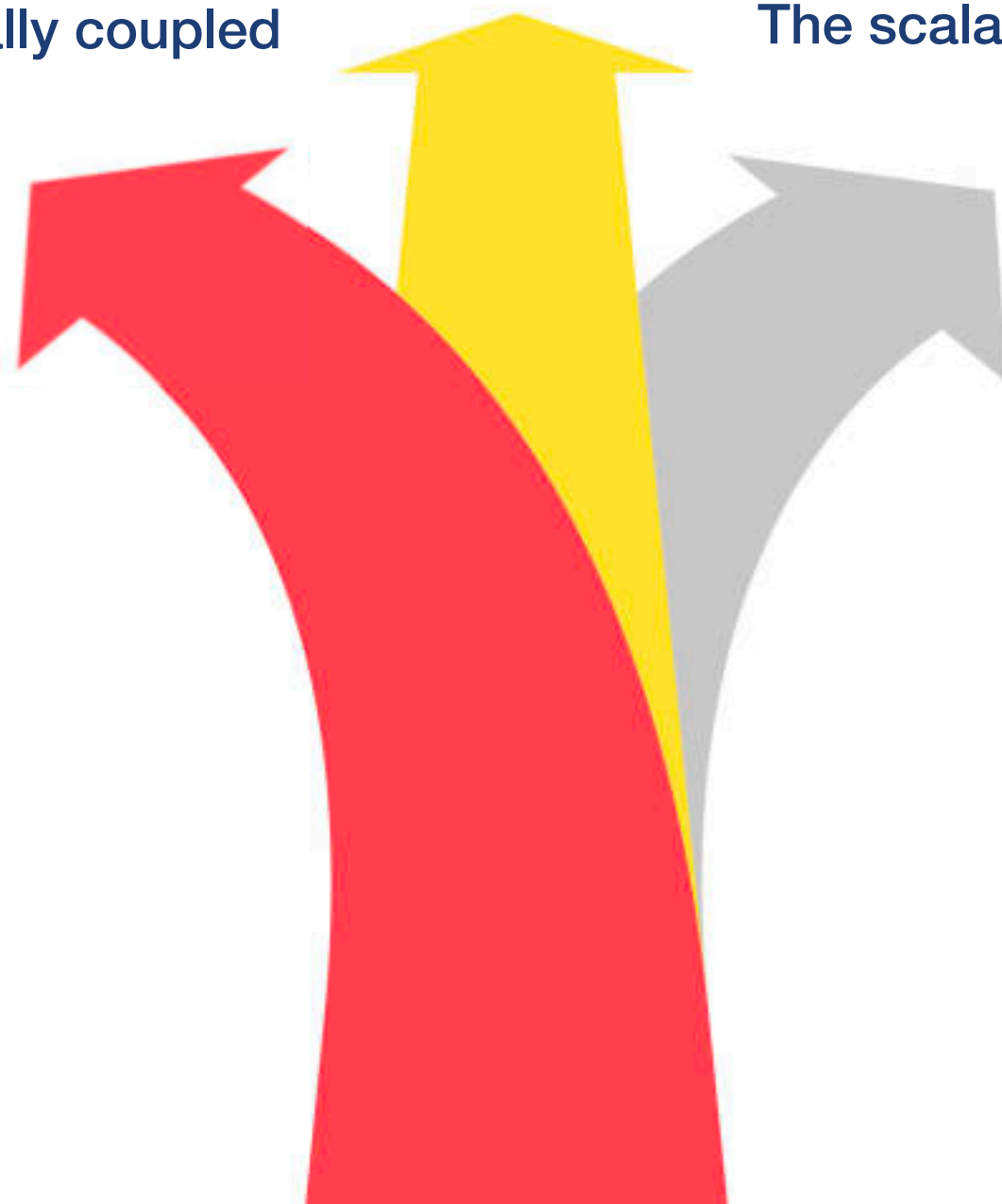
The code crashes before the formation  
of the event horizon

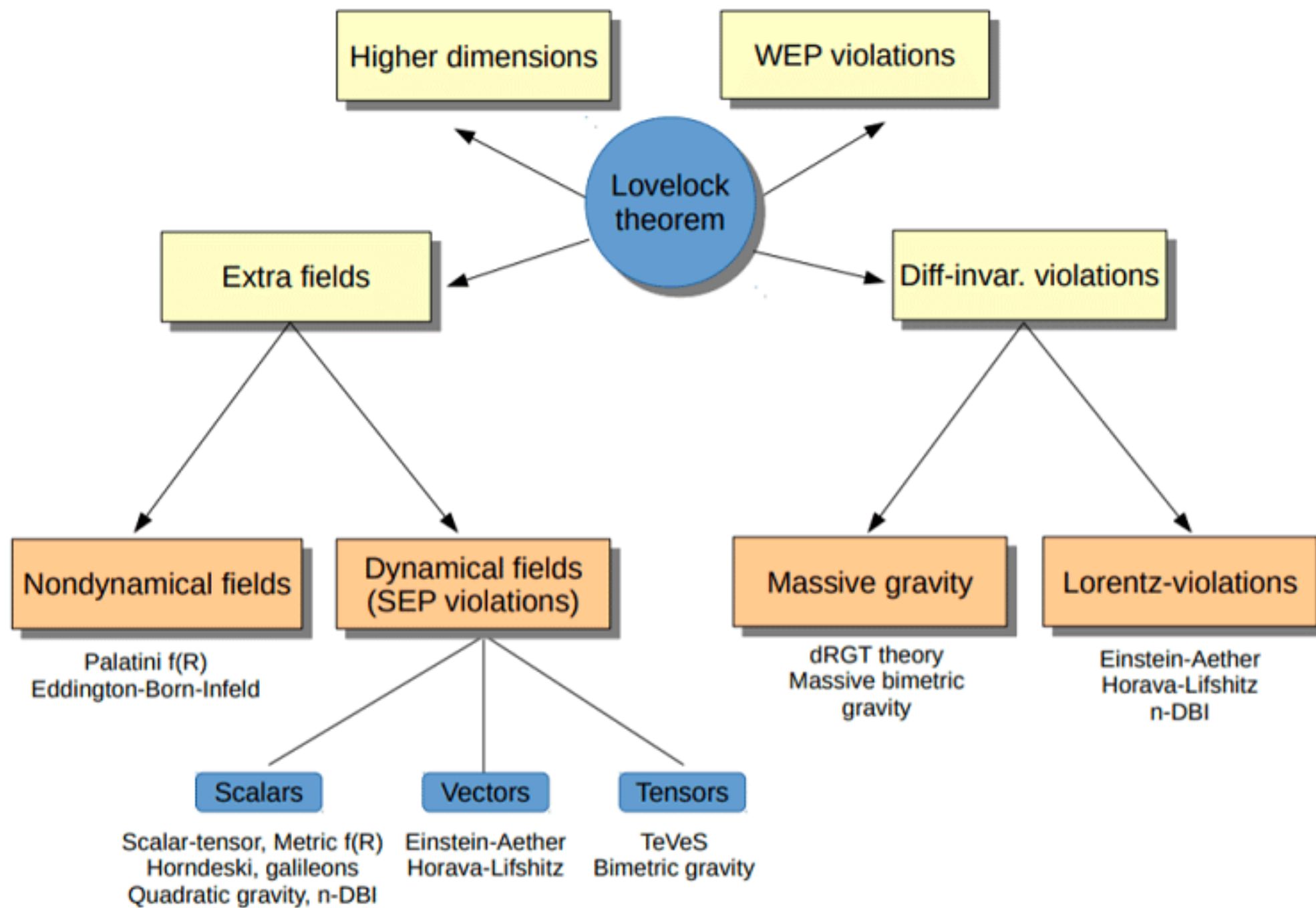
Non-minimal coupling  $\iff$  Fifth force

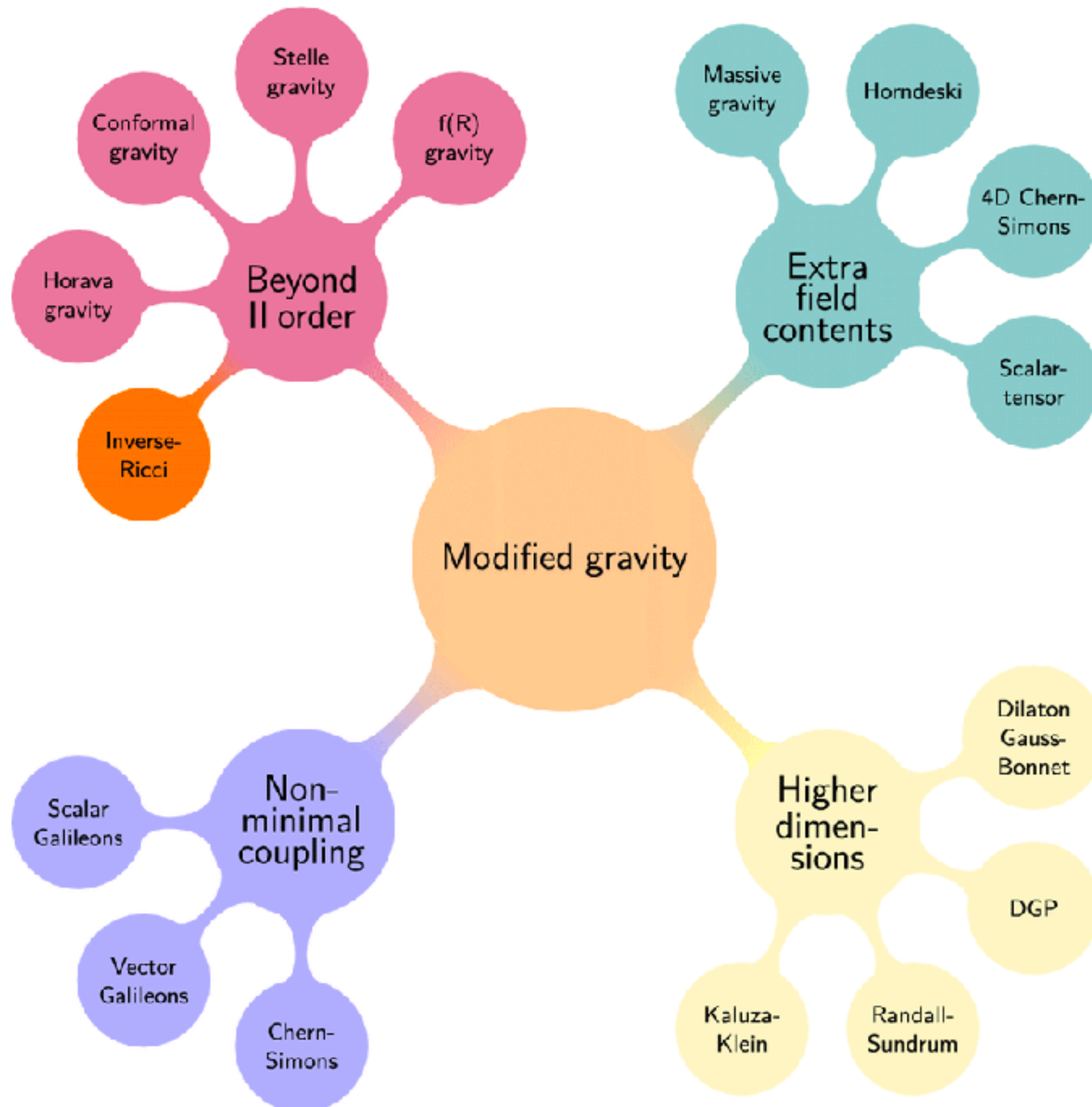
Standard Model

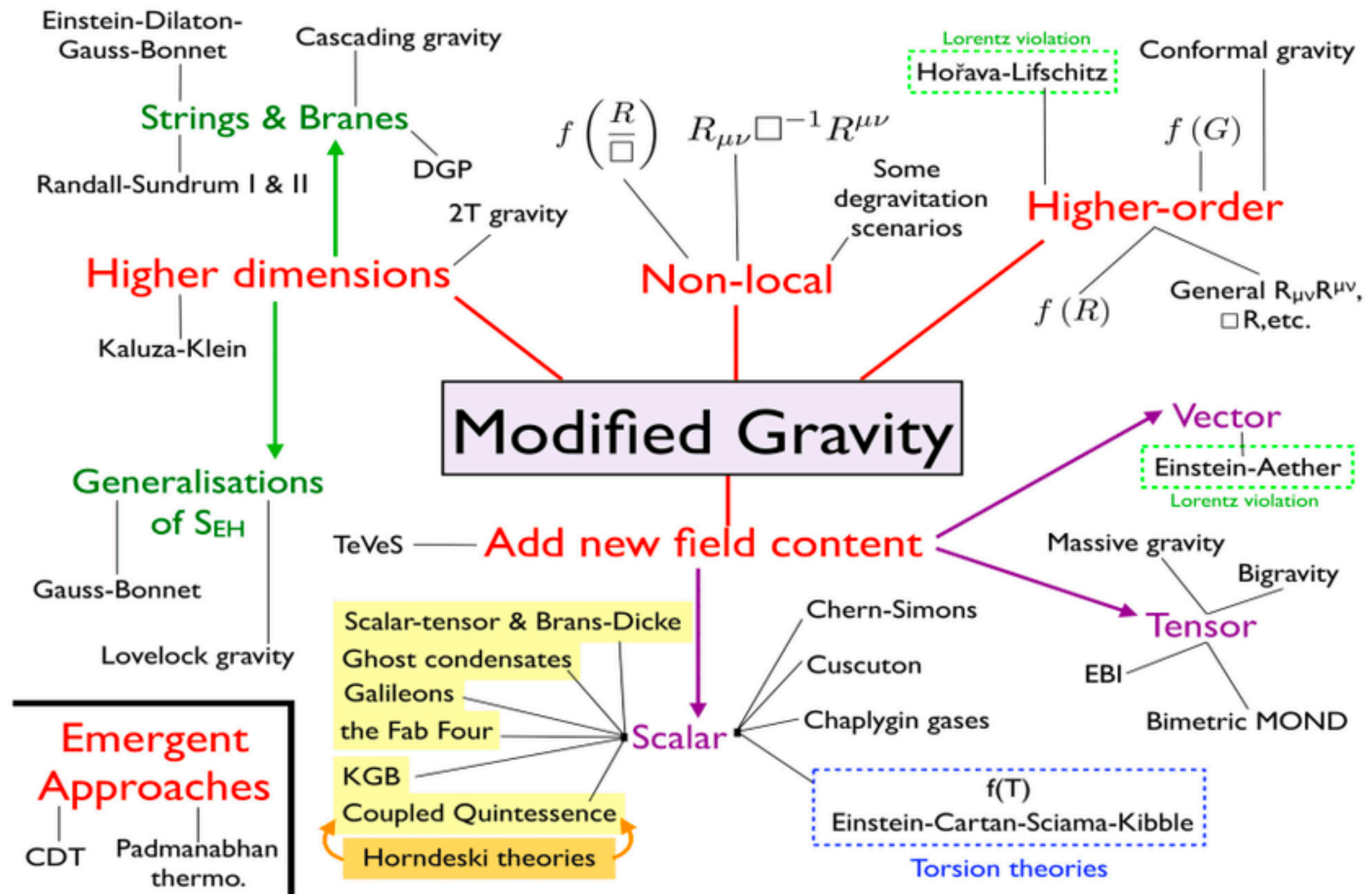
The scalar field is minimally coupled  
Dark Energy  
Inflation

The scalar field is non-minimally coupled  
Modified Gravity



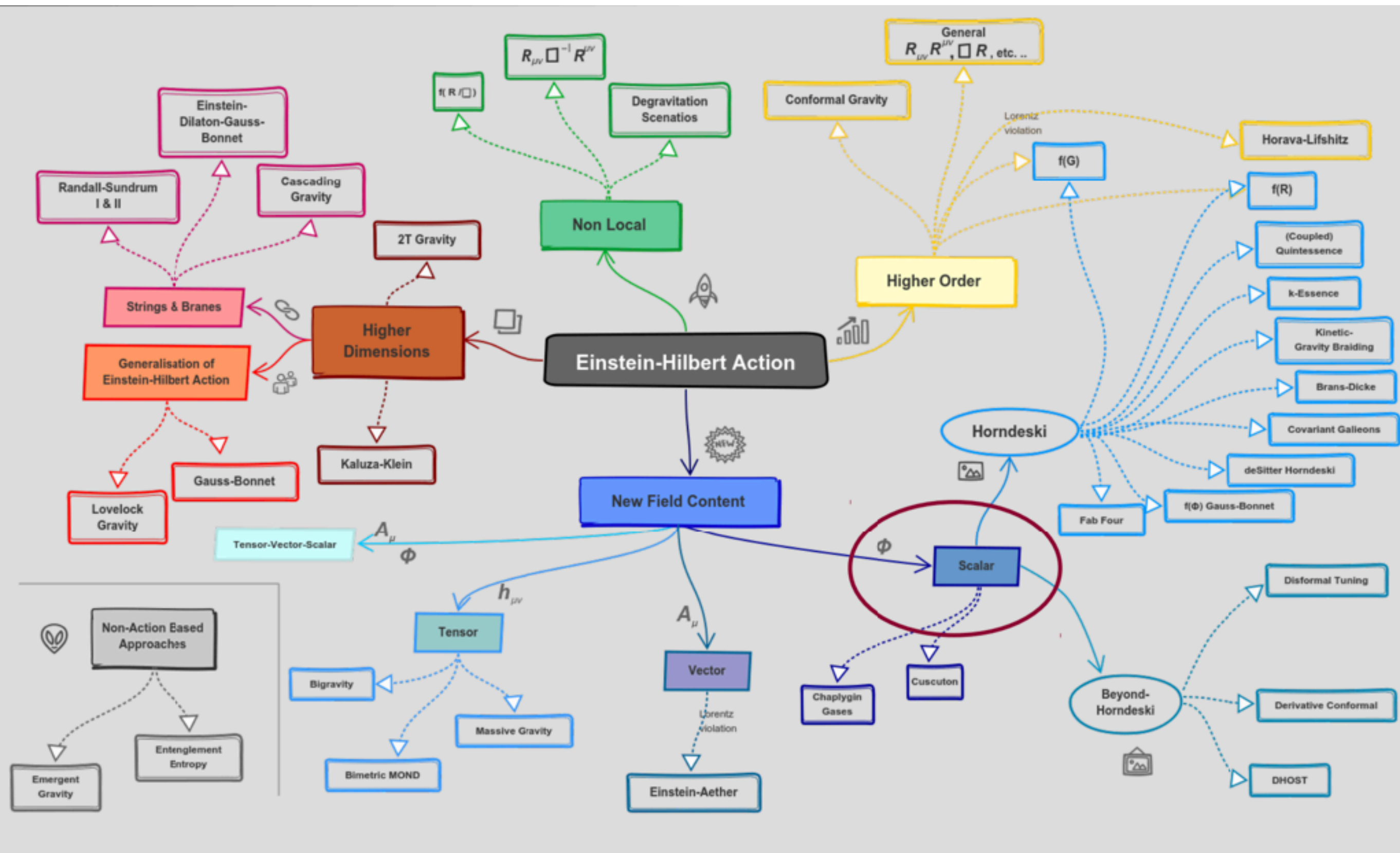








# Modified gravity



Ostrogradski ghost

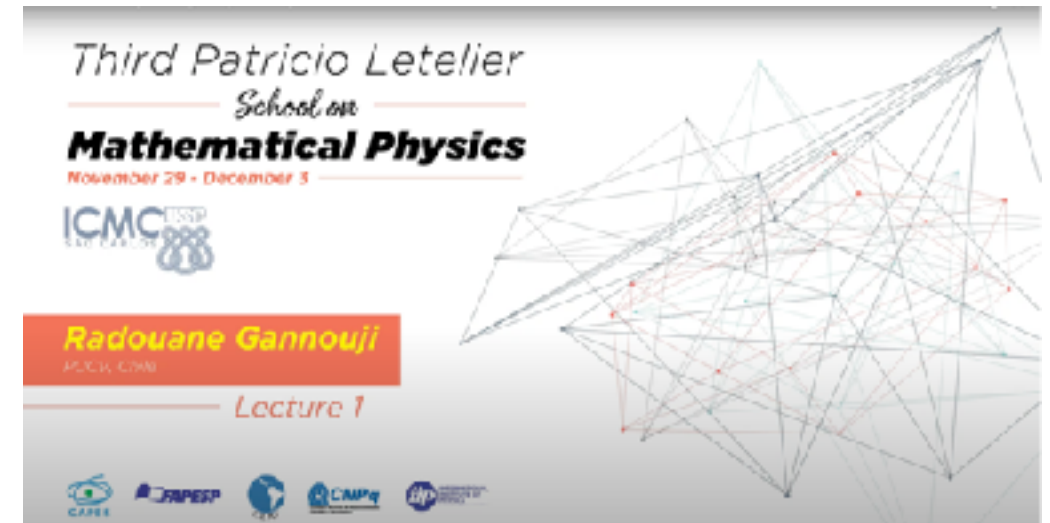
## A bit of propaganda

Third Patricio Letelier - School on Mathematical Physics

R. P. Woodard [arXiv:1506:02210](https://arxiv.org/abs/1506.02210)

A. Ganz, K. Noui [arXiv:2007.01063](https://arxiv.org/abs/2007.01063)

K. Aoki, H. Motohashi [arXiv:2001.06756](https://arxiv.org/abs/2001.06756)



$$\begin{aligned} S &= \int dt L(q, \dot{q}) \Rightarrow \delta S = \int dt \left[ \frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \delta \dot{q} \right] \\ &= \int dt \left[ \frac{\partial L}{\partial q} \delta q - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) \delta q \right] = 0 \\ &\Leftrightarrow \frac{\partial L}{\partial q} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) = 0 \end{aligned}$$

$$\frac{\partial^2 L}{\partial \dot{q}^2} \ddot{q} + \frac{\partial^2 L}{\partial q \partial \dot{q}} \dot{q}$$

$\neq 0$  nondegeneracy condition

$$\text{If } \frac{\partial^2 L}{\partial \dot{q}^2} \neq 0 \quad \ddot{q} = f(q, \dot{q})$$



# Ostrogradsky ghost

$$p = \frac{\partial L}{\partial \dot{q}}(q, \dot{q}) \Rightarrow \dot{q} = F(q, p)$$
$$\Rightarrow H = p\dot{q} - L = pF(q, p) - L(q, F(q, p))$$

$$S = \int dt L(q, \dot{q}, \ddot{q}) \Rightarrow \delta S = \int dt \left[ \frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \delta \dot{q} + \frac{\partial L}{\partial \ddot{q}} \delta \ddot{q} \right]$$
$$= \int dt \left[ \frac{\partial L}{\partial q} \delta q - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) \delta q + \frac{d^2}{dt^2} \left( \frac{\partial L}{\partial \ddot{q}} \right) \delta q \right] = 0$$
$$\Leftrightarrow \frac{\partial L}{\partial q} - \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) + \frac{d^2}{dt^2} \left( \frac{\partial L}{\partial \ddot{q}} \right) = 0$$


$$\frac{\partial^2 L}{\partial \ddot{q}^2} \ddot{q} + \dots$$

$\neq 0$  nondegeneracy condition

$\text{If } \frac{\partial^2 L}{\partial \ddot{q}^2} \neq 0 \quad \ddot{q} = F(q, \dot{q}, \ddot{q}, \ddot{\ddot{q}})$

# Ostrogradsky ghost

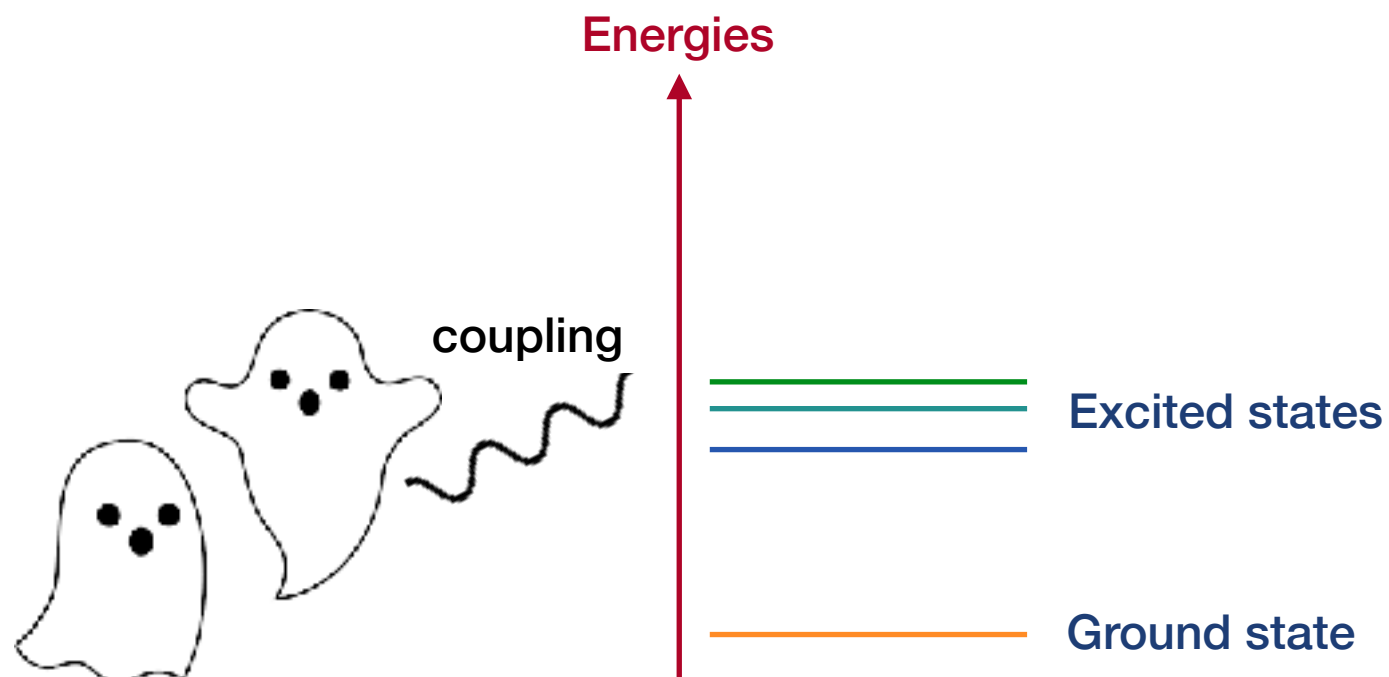
$$\begin{aligned} X_1 = q & & P_1 = \frac{\partial L}{\partial \dot{q}} - \frac{d}{dt} \left( \frac{\partial L}{\partial \ddot{q}} \right) \\ X_2 = \dot{q} & & P_2 = \frac{\partial L}{\partial \ddot{q}} \end{aligned} \quad \Rightarrow \quad \ddot{q} = G(q, \dot{q}, P_2)$$

$$H = P_1 \dot{X}_1 + P_2 \dot{X}_2 - L = P_1 X_2 + P_2 G(X_1, X_2, P_2) - L(X_1, X_2, P_2)$$

Linear in  $P_1$

Function of  $(X_1, X_2, P_2)$

$\Rightarrow$  Unbounded Hamiltonian



If we have a Lagrangian  $L(q, \dot{q}, \ddot{q}, \dots, q^{(N)})$   
 non-degenerate  $\frac{\partial^2 L}{\partial q^{(N)2}} \neq 0, \quad N \geq 2$   
 H will be unbounded from below and above

# Ostrogradsky ghost

$$L = \ddot{q}\dot{q} + \alpha\dot{q}^2 + \beta q^2 \quad \text{which is degenerate} \quad \frac{\partial^2 L}{\partial \dot{q}^2} = 0$$

$$\Leftrightarrow L = \frac{1}{2} \frac{d}{dt} (\dot{q}^2) + \alpha\dot{q}^2 + \beta q^2$$

$$\Leftrightarrow L = \alpha\dot{q}^2 + \beta q^2$$

$$L = \ddot{q}^2 + \alpha\dot{q}^2 + \beta q^2 \quad \text{which is nondegenerate} \quad \frac{\partial^2 L}{\partial \dot{q}^2} \neq 0 \quad \Rightarrow \ddot{q} - \alpha\dot{q} + \beta q = 0$$

$$\begin{aligned} \mathcal{L} = -2\dot{q}\dot{Q} - Q^2 + \alpha\dot{q}^2 + \beta q^2 &\equiv \mathcal{L}(q, \dot{q}, Q, \dot{Q}) \Rightarrow \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{Q}} \right) = \frac{\partial \mathcal{L}}{\partial Q} \Rightarrow \ddot{q} = Q \\ &\Rightarrow \frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{q}} \right) = \frac{\partial \mathcal{L}}{\partial q} \Rightarrow \ddot{Q} - \alpha\dot{q} + \beta q = 0 \end{aligned}$$

$$\Rightarrow \ddot{q} - \alpha\dot{q} + \beta q = 0$$

$$\begin{aligned} \mathcal{L} = -2\dot{q}\dot{Q} - Q^2 + \alpha\dot{q}^2 + \beta q^2 &= \alpha \left( \dot{q} - \frac{\dot{Q}}{\alpha} \right)^2 - \frac{\dot{Q}^2}{\alpha} - Q^2 + \beta q^2 && \text{If } \alpha \neq 0 && X = q - \frac{Q}{\alpha} \\ &= -\frac{1}{2} \left[ (\dot{q} + \dot{Q})^2 - (\dot{q} - \dot{Q}) \right] - Q^2 + \beta q^2 && \text{If } \alpha = 0 && X = q - Q, \quad Y = q + Q \end{aligned}$$

$$\text{If } \alpha \neq 0 \quad \mathcal{L} = \alpha \dot{X}^2 - \frac{\dot{Q}^2}{\alpha} - Q^2 + \beta \left( X + \frac{Q}{\alpha} \right)^2$$

$$\text{If } \alpha = 0 \quad \mathcal{L} = -\frac{\dot{Y}^2}{2} + \frac{\dot{X}^2}{2} - \left( \frac{X-Y}{2} \right)^2 + \beta \left( \frac{X+Y}{2} \right)^2$$



$$L(q, \dot{q}, \ddot{q}, x, \dot{x}) \Rightarrow \det \begin{pmatrix} \frac{\partial^2 L}{\partial \ddot{q}^2} & \frac{\partial^2 L}{\partial \ddot{q} \partial \dot{x}} \\ \frac{\partial^2 L}{\partial \ddot{q} \partial \dot{x}} & \frac{\partial^2 L}{\partial \dot{x}^2} \end{pmatrix} \neq 0 \quad \text{non-degeneracy condition}$$

$$L = \alpha \ddot{q}^2 + \beta \dot{q}^2 + \gamma q^2 + \kappa \dot{x}^2 + \delta x^2 + 2\mu \dot{x} \ddot{q} \quad \text{degeneracy condition} \quad \alpha = \frac{\mu^2}{\kappa}$$

$$\begin{aligned} L &= \frac{\mu^2}{\kappa} \ddot{q}^2 + \beta \dot{q}^2 + \gamma q^2 + \kappa \dot{x}^2 + \delta x^2 + 2\mu \dot{x} \ddot{q} \\ &= \kappa \left( \dot{x} + \frac{\mu}{\kappa} \ddot{q} \right)^2 + \beta \dot{q}^2 + \gamma q^2 + \delta x^2 \\ &= \kappa \dot{X}^2 + \beta \dot{q}^2 + \gamma q^2 + \delta \left( X - \frac{\mu}{\kappa} \dot{q} \right)^2 \end{aligned}$$

Coupling (in the right way) a possibly problematic field to a normal field gives a way to avoid Ostrogradsky ghost with higher derivatives

In summary, to avoid the Ostrogradsky ghost, you should

construct theories with first order derivatives in the action

have higher derivatives but appropriately coupled to another field

$$S = \int d^4x \sqrt{-g} \left( F(\phi, X) R + C^{\mu\nu\rho\sigma} \nabla_{\mu\nu} \phi \nabla_{\rho\sigma} \phi \right)$$

$$\begin{aligned} C^{\mu\nu\rho\sigma} = & \frac{1}{2} \alpha_1 (g^{\mu\rho} g^{\nu\sigma} + g^{\mu\sigma} g^{\nu\rho}) + \alpha_2 g^{\mu\nu} g^{\rho\sigma} + \frac{1}{2} \alpha_3 (\phi^\mu \phi^\nu g^{\rho\sigma} + \phi^\rho \phi^\sigma g^{\mu\nu}) \\ & + \frac{1}{4} \alpha_4 (\phi^\mu \phi^\rho g^{\nu\sigma} + \phi^\nu \phi^\rho g^{\mu\sigma} + \phi^\mu \phi^\sigma g^{\nu\rho} + \phi^\nu \phi^\sigma g^{\mu\rho}) + \alpha_5 \phi^\mu \phi^\nu \phi^\rho \phi^\sigma \end{aligned}$$

ADM decomposition

And calculate the det of the kinetic matrix

Horndeski

Beyond Horndeski

DHOST

## Horndeski

G. W. Horndeski, *Int.J.Theor.Phys.* 10 (1974) 363–384

$$L_2 \equiv G_2(\phi, X)$$

$$L_3 \equiv G_3(\phi, X) \square \phi$$

$$L_4 \equiv G_4(\phi, X) R - 2G_{4,X}(\phi, X)(\square \phi^2 - \phi^{\mu\nu} \phi_{\mu\nu})$$

$$L_5 \equiv G_5(\phi, X) G_{\mu\nu} \phi^{\mu\nu} + \frac{1}{3} G_{5,X}(\phi, X)(\square \phi^3 - 3 \square \phi \phi_{\mu\nu} \phi^{\mu\nu} + 2 \phi_{\mu\nu} \phi^{\mu\sigma} \phi^\nu_\sigma)$$



## Beyond Horndeski

$$\tilde{g}_{\mu\nu} = C(X, \phi) g_{\mu\nu} + D(X, \phi) \phi_\mu \phi_\nu$$

M. Zumalacárregui, J. García-Bellido, [arXiv:1308.4685](https://arxiv.org/abs/1308.4685)

Degrees of freedom do not change if we do an invertible transformation

$$C(C - XC_X + 2X^2 D_X) \neq 0 \quad \text{G. Domènech et al., } \text{\texttt{arXiv:1507.05390}}$$

$$L_4^{\text{bH}} \equiv F_4(\phi, X) \epsilon^{\mu\nu\rho}_\sigma \epsilon^{\mu'\nu'\rho'\sigma} \phi_\mu \phi_{\mu'} \phi_{\nu\nu'} \phi_{\rho\rho'}$$

$$L_5^{\text{bH}} \equiv F_5(\phi, X) \epsilon^{\mu\nu\rho\sigma} \epsilon^{\mu'\nu'\rho'\sigma'} \phi_\mu \phi_{\mu'} \phi_{\nu\nu'} \phi_{\rho\rho'} \phi_{\sigma\sigma'}$$

with constraints on  $F_4$  and  $F_5$

## DHOST

D. Langlois, K. Noui, [arXiv:1510.06930](https://arxiv.org/abs/1510.06930)

$$L_1^{(2)} = \phi_{\mu\nu}\phi^{\mu\nu}, \quad L_2^{(2)} = (\Box\phi)^2, \quad L_3^{(2)} = (\Box\phi)\phi^\mu\phi_{\mu\nu}\phi^\nu$$

$$L_4^{(2)} = \phi^\mu\phi_{\mu\rho}\phi^{\rho\nu}\phi_\nu, \quad L_5^{(2)} = (\phi^\mu\phi_{\mu\nu}\phi^\nu)^2$$

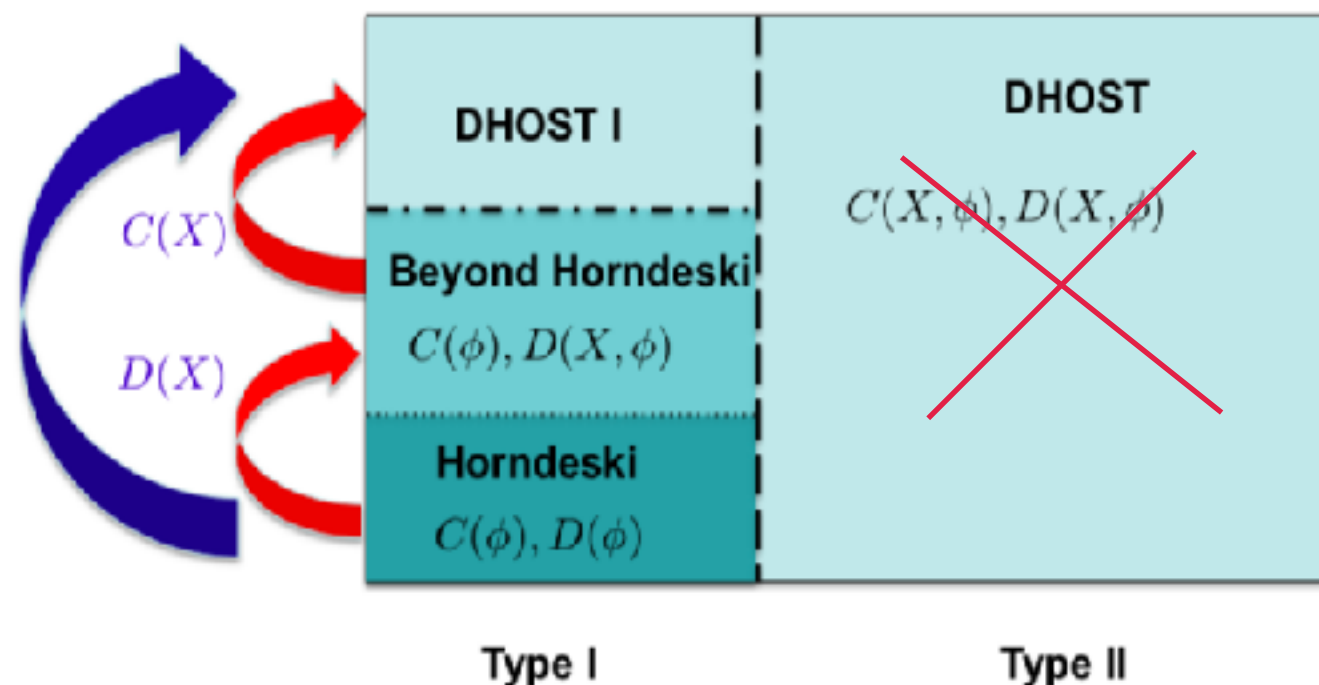
$$L_1^{(3)} = (\Box\phi)^3, \quad L_2^{(3)} = (\Box\phi)\phi_{\mu\nu}\phi^{\mu\nu}, \quad L_3^{(3)} = \phi_{\mu\nu}\phi^{\nu\rho}\phi_\rho^\mu,$$

$$L_4^{(3)} = (\Box\phi)^2\phi_\mu\phi^{\mu\nu}\phi_\nu, \quad L_5^{(3)} = \Box\phi\phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^\rho, \quad L_6^{(3)} = \phi_{\mu\nu}\phi^{\mu\nu}\phi_\rho\phi^{\rho\sigma}\phi_\sigma,$$

$$L_7^{(3)} = \phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^{\rho\sigma}\phi_\sigma, \quad L_8^{(3)} = \phi_\mu\phi^{\mu\nu}\phi_{\nu\rho}\phi^\rho\phi_\sigma\phi^{\sigma\lambda}\phi_\lambda,$$

$$L_9^{(3)} = \Box\phi\left(\phi_\mu\phi^{\mu\nu}\phi_\nu\right)^2, \quad L_{10}^{(3)} = \left(\phi_\mu\phi^{\mu\nu}\phi_\nu\right)^3.$$

Represent all the possible contractions (at second and third order) of the second-order derivatives  $\phi_{,\mu\nu}$  with the metric  $g_{\mu\nu}$  and the scalar field gradient  $\phi_{,\mu}$



D. Langlois et al. [arXiv:1703.03797](https://arxiv.org/abs/1703.03797)

D. Langlois, [arXiv:1811.06271](https://arxiv.org/abs/1811.06271)



## Screening mechanisms



# Screening mechanism

$$S = \int d^4x \sqrt{-g} \left[ R - Z^{\mu\nu}(\phi, \partial\phi, \dots) \partial_\mu \phi \partial_\nu \phi - V(\phi) \right] + S_m \left[ e^{2\beta\phi} g_{\mu\nu}, \Psi_m \right]$$

$$\frac{d^2 x^\mu}{d\tau^2} + \tilde{\Gamma}_{\rho\sigma}^\mu(e^{2\beta\phi} g_{\mu\nu}) \frac{dx^\rho}{d\tau} \frac{dx^\sigma}{d\tau} = 0$$

$$\frac{d^2 x^\mu}{d\tau^2} + \Gamma_{\rho\sigma}^\mu \frac{dx^\rho}{d\tau} \frac{dx^\sigma}{d\tau} + \beta \left[ 2 \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} \partial_\nu \phi + \partial^\mu \phi \right] = 0$$

Newtonian limit

$$\frac{d^2 x^\mu}{dt^2} = -\partial^\mu \Phi - \beta \partial^\mu \phi$$

Unnatural solutions, as Brans-Dicke  $\beta = 1/\sqrt{2\omega + 6} \ll 1$

Screening the Fifth force because of the potential ( $V$ ): Chameleon mechanism  $f(R)$ , Brans-Dicke with  $V(\phi)$ ...

Screening the Fifth force because of the kinetic term ( $Z$ ): Vainshtein mechanism DGP, massive gravity...

# Chameleon mechanism

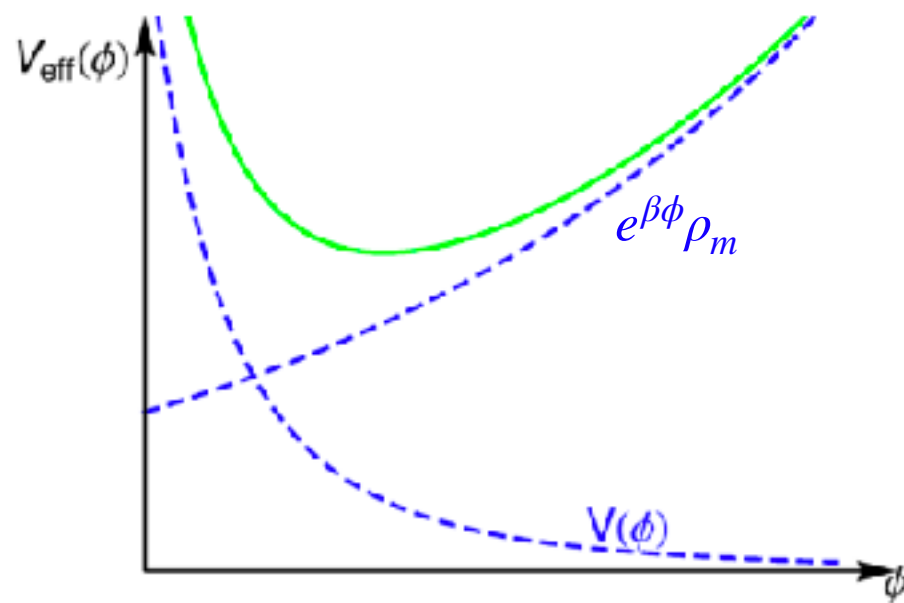
$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right] + S_m \left[ e^{2\beta\phi} g_{\mu\nu}, \Psi_m \right]$$

J. Khoury, A. Weltman

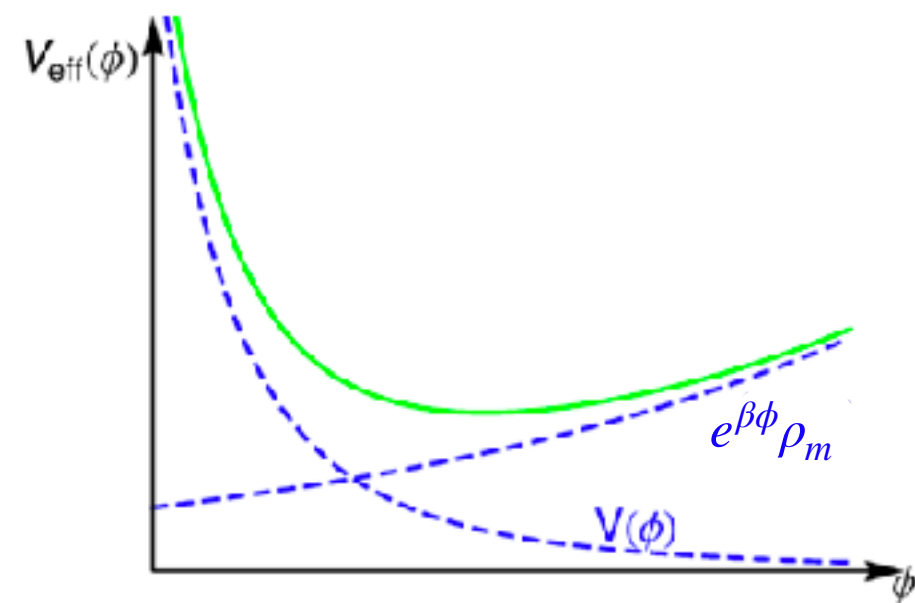
[arXiv:astro-ph/0309300](#), [arXiv:astro-ph/0309411](#)

$$\square \phi = V_{,\phi} + \beta e^{\beta\phi} \rho_m$$

$$\square \phi = V_{eff,\phi} \quad \text{with} \quad V_{eff}(\phi) = V(\phi) + e^{\beta\phi} \rho_m$$



Large  $\rho_m$



Small  $\rho_m$

$$m_{eff}^2(\rho) = \frac{d^2 V_{eff}}{d\phi^2} \equiv V''(\phi) + \beta^2 e^{\beta\phi} \rho_m$$

# Chameleon mechanism

If we consider a specific model:

$$V(\phi) = \frac{M^5}{\phi} \qquad V_{eff}(\phi) = V(\phi) + e^{\beta\phi} \rho_m$$

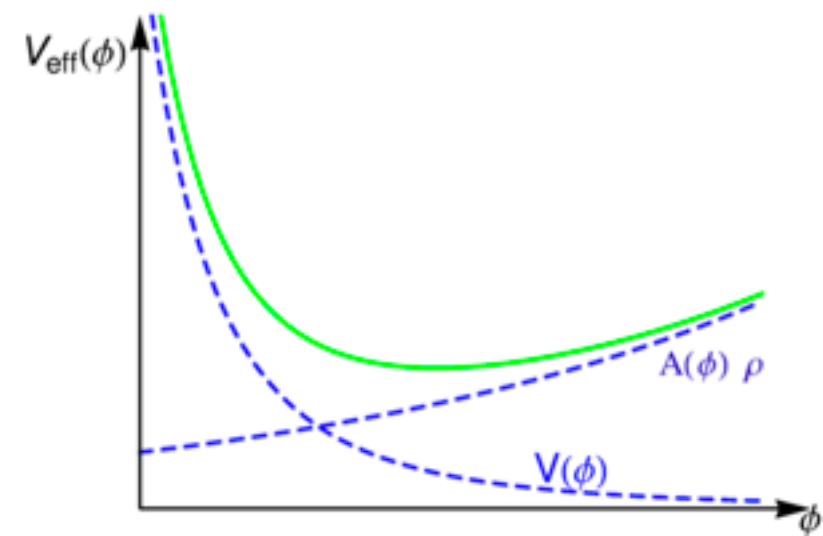
For analytical simplicity, I will assume  $e^{\beta\phi} = 1 + \beta\phi$

$$V_{eff}(\phi) = \frac{M^5}{\phi} + (1 + \beta\phi)\rho_m$$

The field sits at the minimum of the effective potential

$$\frac{dV_{eff}(\phi)}{d\phi} = 0$$

Which means  $\phi_{min} = \left( \frac{M^5}{\beta\rho_m} \right)^{1/2}$



The effective mass becomes

$$m_{eff}^2 = V''_{eff}(\phi_{min}) = 2M^{-5/2}(\beta\rho_m)^{3/2}$$

Scalar field is light in empty environments and therefore produce a long range force  $\lambda = m_{eff}^{-1}$

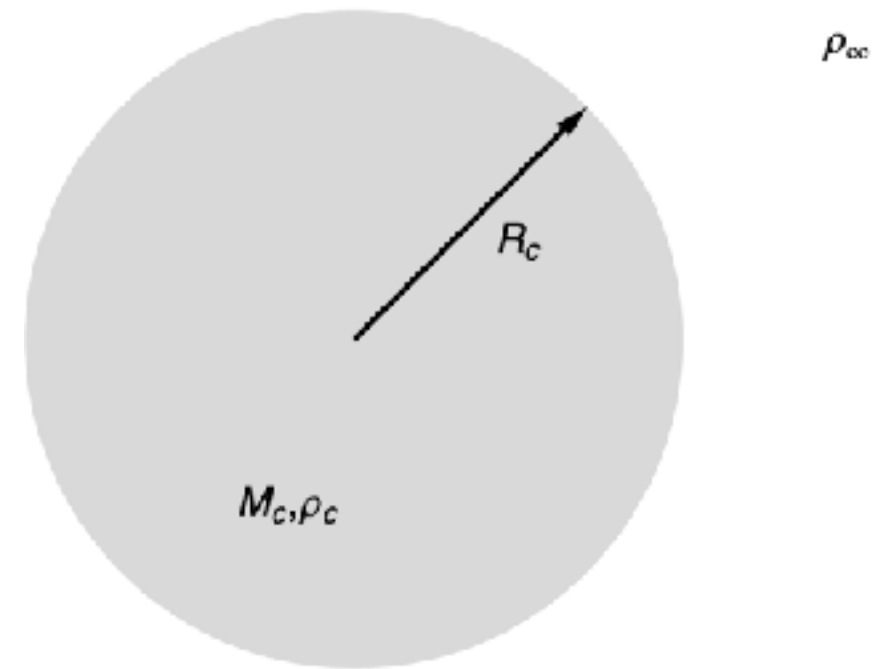
But is heavy in dense environments, like in the lab, so produce a short range force

$$\square \phi = V_{,\phi} + \beta e^{\beta\phi} \rho_m$$

$$\frac{d^2\phi}{dr^2} + \frac{2}{r} \frac{d\phi}{dr} = V_{,\phi} + \beta e^{\beta\phi} \rho_m$$

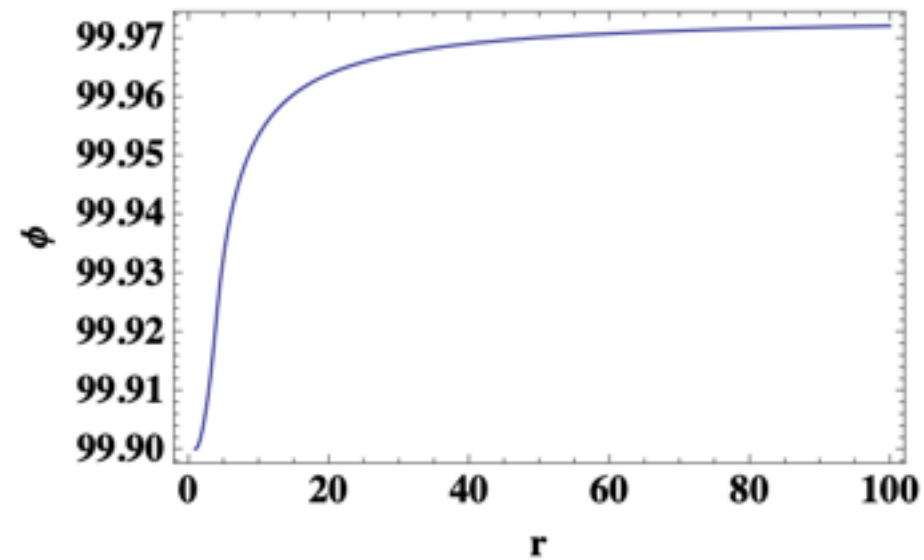
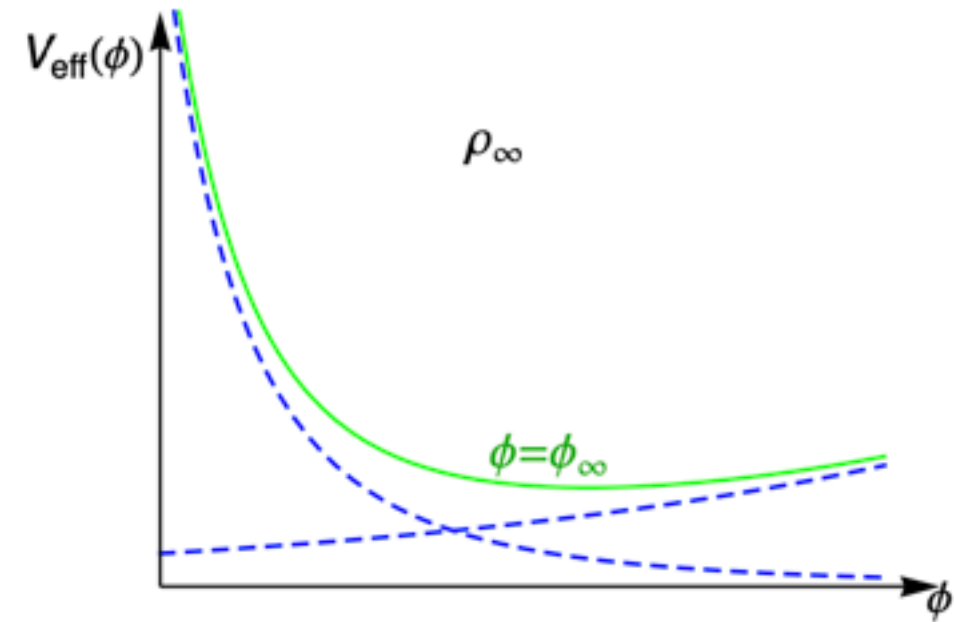
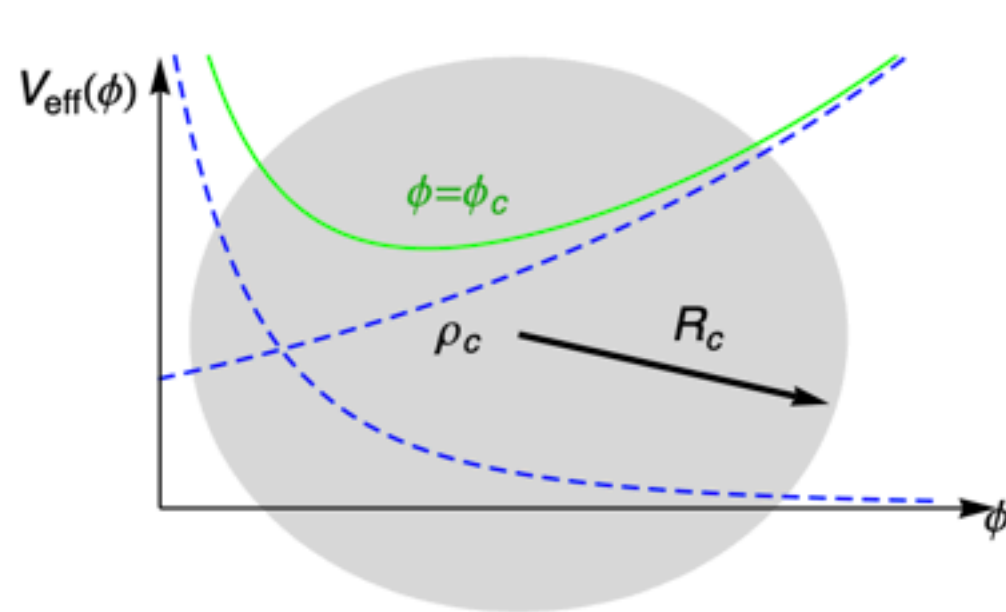
Boundary conditions:

$$\phi'(r=0) = 0 \quad \phi(\infty) = \phi_\infty$$



# Chameleon mechanism

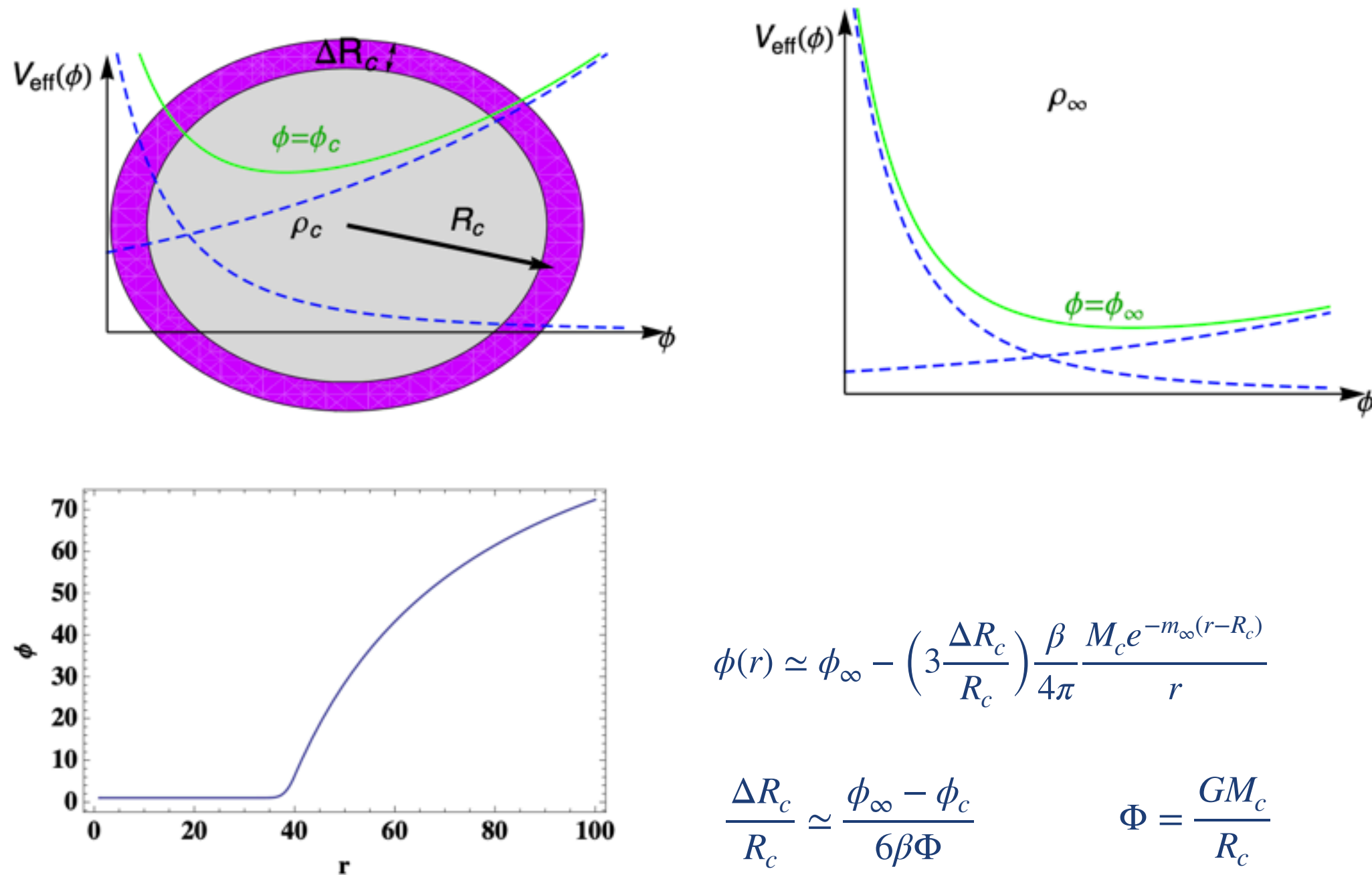
First case: the contrast density between the exterior and interior of the spherical object is small



$$\phi(r) \simeq \phi_\infty - \frac{\beta}{4\pi} \frac{M_c e^{-m_\infty(r-R_c)}}{r}$$

# Chameleon mechanism

Second case: the contrast density between the exterior and interior of the spherical object is large



But because fifth force  $\propto \phi'(r)$ , the fifth force could be suppressed if  $\frac{\Delta R_c}{R_c} \ll 1$  known as the thin-shell condition

# Chameleon mechanism

But because fifth force  $\propto \phi'(r)$ , the fifth force could be suppressed if  $\frac{\Delta R_c}{R_c} \ll 1$

Let's consider that the system; earth, moon, sun, have a thin-shell

We want to know, if the thin-shell is strong enough to suppress any fifth force over the earth and the moon

In order not to have a violation of the equivalence principle

$$\left| \frac{a_{moon} - a_{earth}}{a_{moon} + a_{earth}} \right| < 10^{-13}$$

$$a_{\oplus} \simeq \frac{GM_{\odot}}{r^2} \left[ 1 + 18\beta^2 \epsilon_{th,\oplus}^2 \frac{\Phi_{\oplus}}{\Phi_{\odot}} \right],$$

$$a_{Moon} \simeq \frac{GM_{\odot}}{r^2} \left[ 1 + 18\beta^2 \epsilon_{th,\oplus}^2 \frac{\Phi_{\oplus}^2}{\Phi_{\odot} \Phi_{Moon}} \right],$$

$$\epsilon_{th,\oplus} = \frac{\Delta R}{R} \quad \text{for the earth}$$

$$\epsilon_{th,\oplus} < \frac{8.8 \cdot 10^{-7}}{\beta}$$

$$\epsilon_{th,\oplus} < \frac{8.8 \cdot 10^{-7}}{\beta}$$

$$V(\phi) = \frac{M^5}{\phi} \Rightarrow M < 10^{-3} \text{ eV}$$

Energy scale for dark energy



# Chameleon mechanism

---

Small galaxies should move faster than large ones in general

Stars within unscreened galaxies may show the effects of modified gravity

Enhanced gravitational force makes stars of a given mass brighter and hotter than in GR

More ephemeral since they consume their fuel at a faster rate

Internal motions of objects inside an unscreened galaxy

majority of stars inside an unscreened galaxy are themselves screened

diffuse gas inside the same galaxy likely remains unscreened because the gravitational potential at a gas cloud should be dominated by the potential of the galaxy rather than that of the gas cloud itself

Therefore, at the same radius from galactic center, gas clouds should move with an acceleration that is larger than that of the stars by a factor of  $1 + 2\beta^2$ , which is  $4/3$  for  $f(R)$



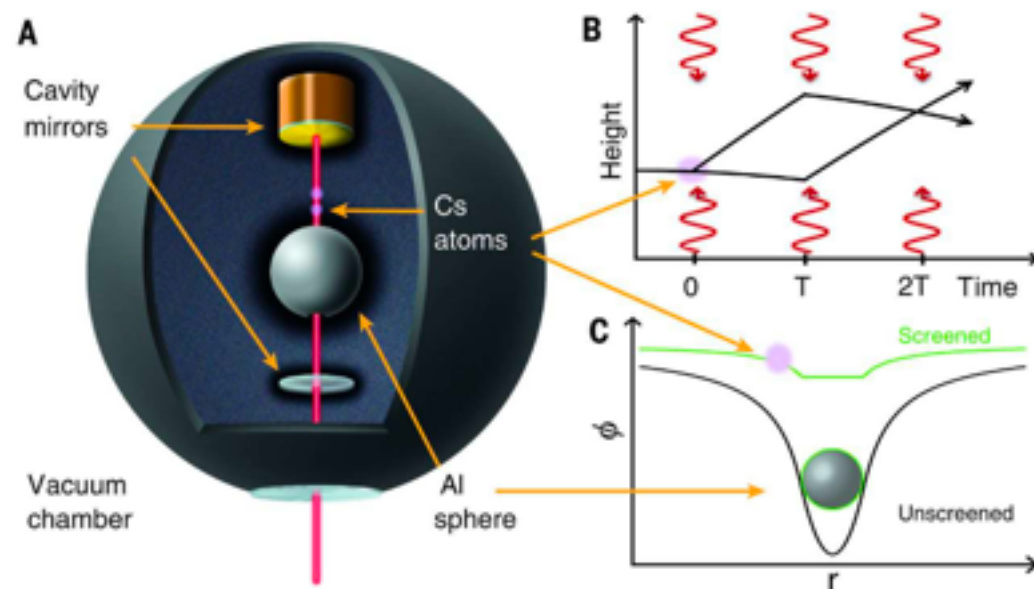
# Chameleon mechanism

Atomic particles in an ultra-high vacuum chamber can mimic cosmos conditions

The experiment is realized inside a vacuum chamber in order to reduce the screening effect

An atom beam is split in two, one half of it is subjected to some field, and then the beams are combined again

From the resulting interference pattern one can extract the force that acted on the beams



This result rules out chameleons that would reproduce the observed acceleration of the cosmos

P. Hamilton et al. [arXiv:1502.0388](https://arxiv.org/abs/1502.0388)

**Other screening mechanisms**

## Other mechanisms

Almost similar to chameleon mechanism, but with a bit different idea

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right] + S_m \left[ A(\phi)^2 g_{\mu\nu}, \Psi_m \right]$$

Symmetron mechanism

Kurt Hinterbichler, Justin Khoury [arXiv:1001.4525](https://arxiv.org/abs/1001.4525)

$$V(\phi) = -\frac{\mu^2}{2} \phi^2 + \frac{\lambda}{4} \phi^4$$

$$A(\phi) = 1 + \frac{\phi^2}{2M^2}$$

$$V(\phi) = \frac{1}{2} \left( \frac{\rho_m}{M^2} - \mu^2 \right) \phi^2 + \frac{\lambda}{4} \phi^4$$

Dilaton mechanism

T. Damour, A. M. Polyakov [arXiv:hep-th/9401069](https://arxiv.org/abs/hep-th/9401069)

$$V(\phi) = V_0 e^{-\phi/M_{Pl}}$$

$$A(\phi) = 1 + \frac{(\phi - \phi_0)^2}{2M^2}$$

$$V(\phi) = V_0 e^{-\phi/M_{Pl}} + \frac{(\phi - \phi_0)^2}{2M^2} \rho_m$$

## Vainshtein mechanism

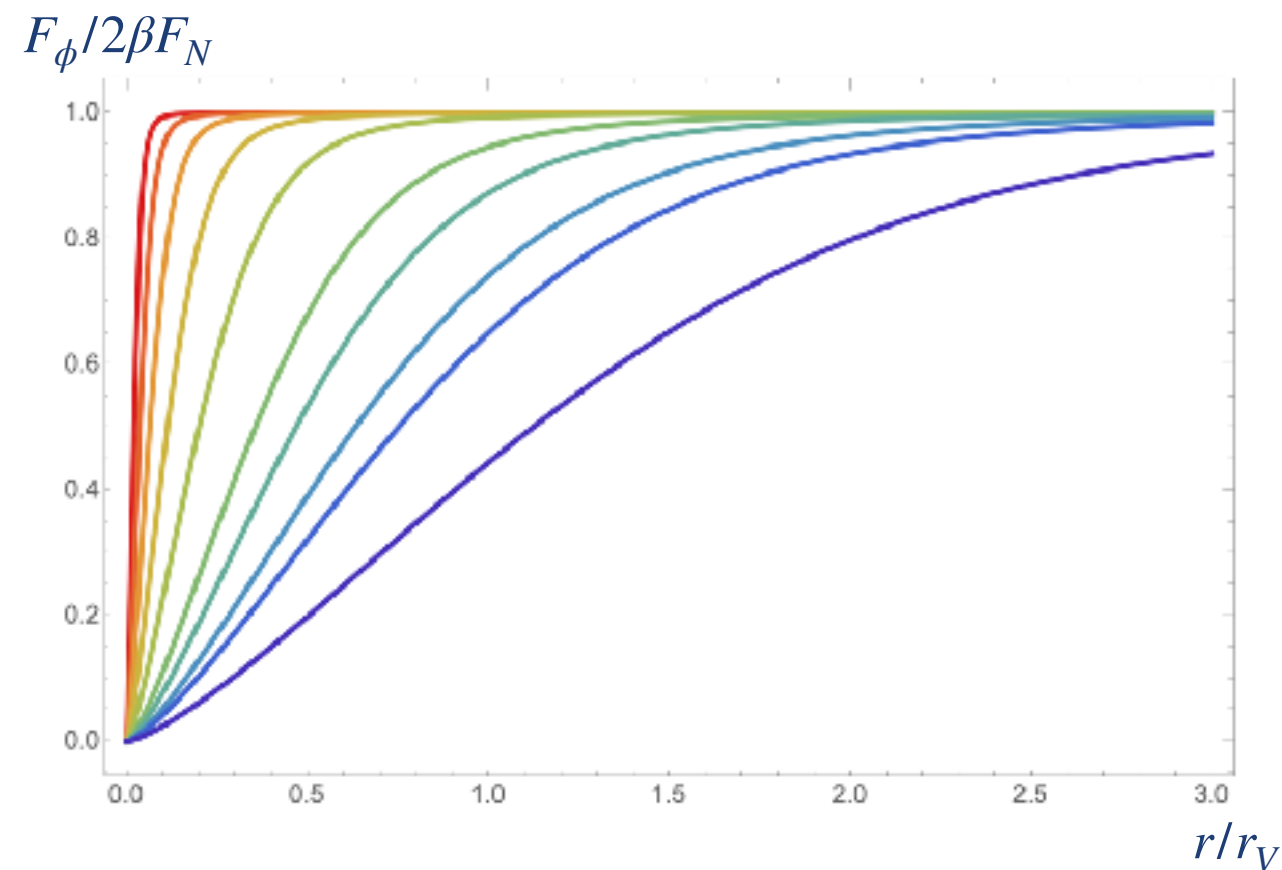
# Vainshtein mechanism

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{\alpha}{4M^4} (\partial_\mu \phi \partial^\mu \phi)^2 \right] + S_m \left[ A(\phi)^2 g_{\mu\nu}, \Psi_m \right]$$

$$\square \phi - \frac{\alpha}{M^4} \nabla_\mu \left[ (\partial_\alpha \phi \partial^\alpha \phi) \partial^\mu \phi \right] = A'(\phi) \rho_m \quad A(\phi) = 1 + \frac{\beta}{M_{Pl}} \phi$$

Newtonian limit for spherically symmetric solution

$$\phi' - \frac{\alpha}{M^4} \phi'^3 = \frac{1}{4\pi r^2} \frac{\beta m}{M_{Pl}} \quad F_\phi = \frac{\beta}{M_{pl}} \phi'$$



$$r = r_V = \frac{1}{M} \left( \frac{\beta m}{M_{Pl}} \right)^{1/2}$$

Vainshtein radius

DBI type of model

$$S = \int d^4x \sqrt{-g} \left[ R + \Lambda^4 \sqrt{1 - \frac{(\partial\phi)^2}{\Lambda^4}} + \beta\phi T \right]$$

$$\phi' = \frac{\Lambda^2}{\sqrt{1 + 16\pi^2(r/r_V)^4}}$$

$$F_5 \simeq 1/r^2 \quad r \gg r_V$$

$$F_5/F_N \simeq (r/r_V)^2 \quad r \ll r_V$$

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} Z^{\mu\nu}(\phi, \partial\phi, \dots) \partial_\mu \phi \partial_\nu \phi \right] + S_m \left[ A(\phi)^2 g_{\mu\nu}, \Psi_m \right]$$

$$Z_{\mu\nu} = g_{\mu\nu} + \frac{1}{M^3} \partial_{\mu\nu} \phi + \frac{1}{M^6} (\partial_{\mu\nu} \phi)^2 + \dots$$

At low energies  $Z_{\mu\nu} \simeq g_{\mu\nu} = \mathcal{O}(1)$

At high energies  $Z_{\mu\nu} \gg 1$

$$-\frac{1}{2} Z^{\mu\nu}(\phi, \partial\phi, \dots) \partial_\mu \phi \partial_\nu \phi = -\frac{1}{2} \partial_\mu \psi \partial^\mu \psi \quad \phi = \frac{\psi}{\sqrt{Z}} \Rightarrow A(\phi) = A\left(\frac{\psi}{\sqrt{Z}}\right)$$

When  $Z \gg 1$ ,  $A(\phi) \rightarrow A(0) = 1$  the field decouples from matter

The field is strongly coupled to itself and becomes weakly coupled to external sources

Many of these non-linearities come from higher dimensional theories

Gauss-Bonnet

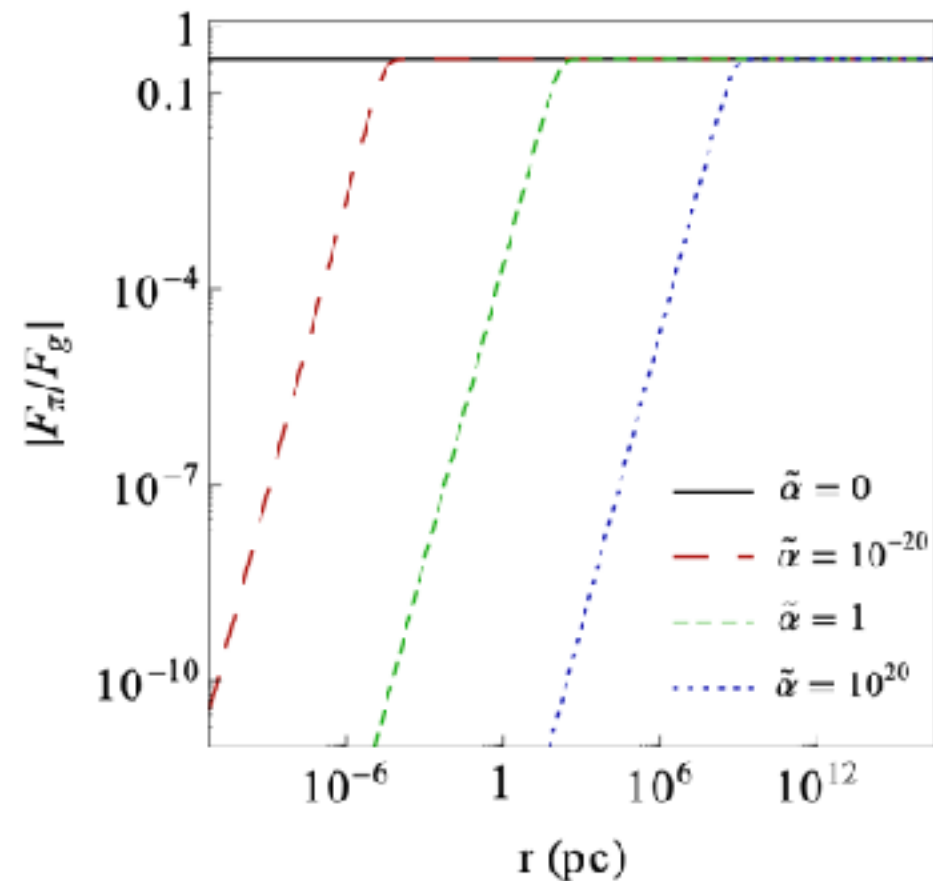
$$S = \int d^5x \sqrt{-h} \left[ R + \alpha (R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}) \right]$$

Ansatz

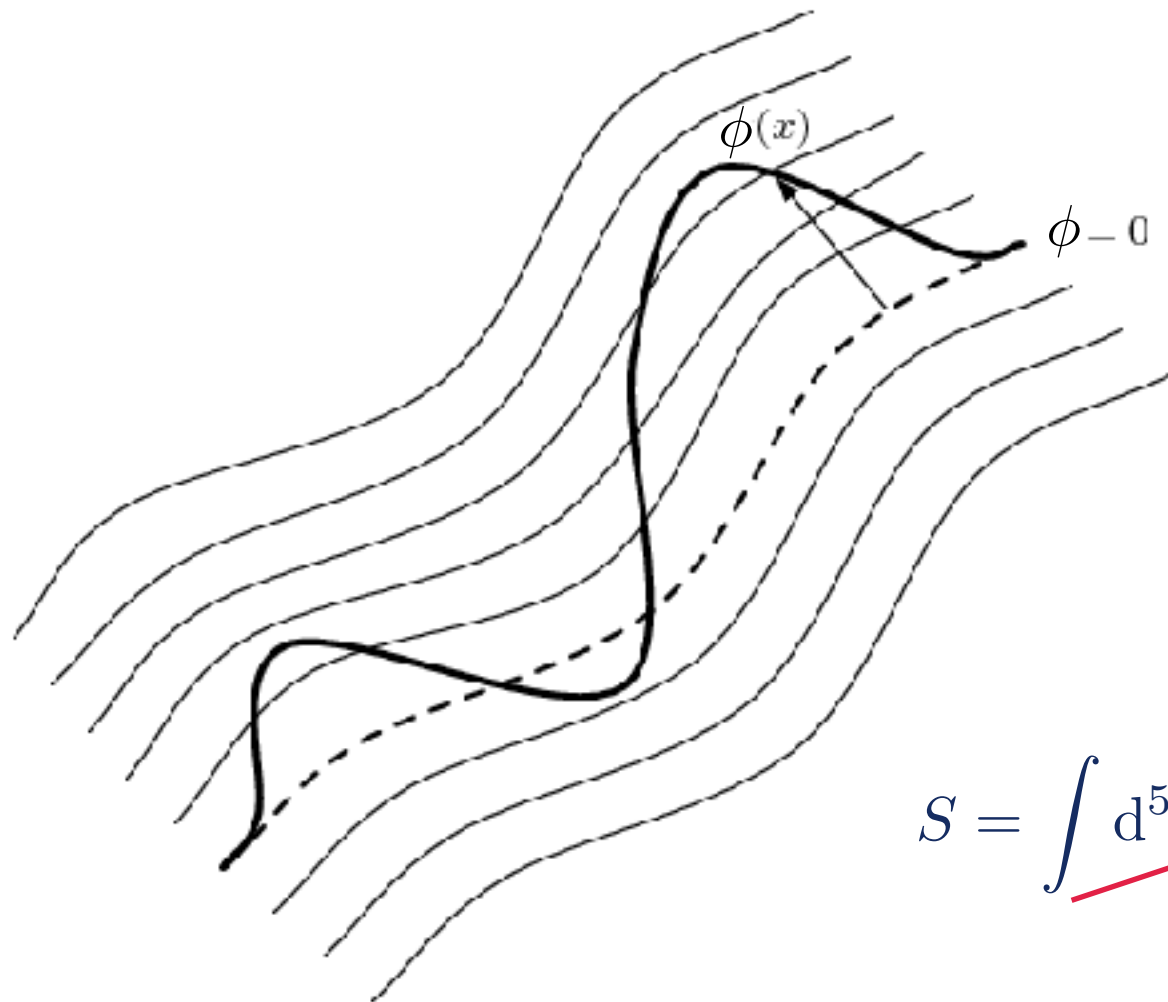
$$ds^2 = g_{\mu\nu}dx^\mu dx^\nu + e^{\phi(x^\mu)}dw^2$$

R.G., M. Sami [arXiv:1107.1892](https://arxiv.org/abs/1107.1892)

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2}(\partial\phi)^2 + \alpha e^{\phi/\sqrt{3}} \left( R_{GB} + \frac{4}{3}G_{\mu\nu}\phi^\mu\phi^\nu - \frac{1}{\sqrt{3}}(\partial\phi)^2 \square\phi \right) \right] + S_m \left[ e^{-\phi/\sqrt{3}}g_{\mu\nu}; \Psi_m \right]$$







$\phi$  measures the brane position with respect to some chosen foliation

$$X^\mu = x^\mu, \quad X^4 = \phi(x^\mu)$$

**Induced metric**  $g_{\mu\nu} = \eta_{AB} e_\mu^A e_\nu^B = \eta_{\mu\nu} + \partial_\mu \phi \partial_\nu \phi$

**Extrinsic curvature**  $K_{\mu\nu} = -\frac{\partial_{\mu\nu} \phi}{\sqrt{1 + (\partial\phi)^2}}$

$$S = \int d^5 X \sqrt{-h} \text{ }^{(5)}\mathcal{R} + 2 \int d^4 x \sqrt{-g} K + \int d^4 x \sqrt{-g} \Lambda$$

$$\sqrt{-g} = \sqrt{1 + (\partial\phi)^2}$$

$$K = \square\phi - \frac{\partial_{\mu\nu} \phi \partial^\mu \phi \partial^\nu \phi}{1 + (\partial\phi)^2}$$

$$S = 2 \int d^4 x \sqrt{-g} K + \int d^4 x \sqrt{-g} \Lambda$$

$$S = \int d^4 x \left[ 2\sqrt{1 + (\partial\phi)^2} \square\phi - 2 \frac{\partial_{\mu\nu} \phi \partial^\mu \phi \partial^\nu \phi}{\sqrt{1 + (\partial\phi)^2}} + \Lambda \sqrt{1 + (\partial\phi)^2} \right]$$

$$(\partial\phi)^2 \ll 1$$

$$S = \Lambda \int d^4 x \left[ 1 + \frac{1}{2} (\partial\phi)^2 + \frac{2}{\Lambda} (\partial\phi)^2 \square\phi \right]$$

**Galileon**



# Origin of the Vainshtein mechanism

## Massive gravity

Considering the linear theory of gravity, we can add a mass term

$$S = \int d^4x \left[ -\frac{1}{2} \partial_\lambda h_{\mu\nu} \partial^\lambda h^{\mu\nu} + \partial_\mu h_{\nu\lambda} \partial^\nu h^{\mu\lambda} - \partial_\mu h^{\mu\nu} \partial_\nu h + \frac{1}{2} \partial_\lambda h \partial^\lambda h - \frac{1}{2} m^2 (h_{\mu\nu} h^{\mu\nu} - h^2) \right]$$

$$\square h_{\mu\nu} - \partial_\lambda \partial_\mu h^\lambda_\nu - \partial_\lambda \partial_\nu h^\lambda_\mu + \eta_{\mu\nu} \partial_\lambda \partial^\lambda h - \partial_\mu \partial_\nu h - \eta_{\mu\nu} \square h - m^2 (h_{\mu\nu} - \eta_{\mu\nu} h) = 0$$

$$(\square - m^2) h_{\mu\nu} = 0, \quad \partial^\mu h_{\mu\nu} = 0, \quad h = 0$$

Wave equation for 5 propagating propagating dof

Solution for a point source

$$\phi = -\frac{4}{3} \frac{GM}{r} e^{-mr}, \quad \psi = -\frac{2}{3} \frac{GM}{r} e^{-mr} \delta_{ij}$$

We can rescale the gravitational constant  $G \rightarrow \frac{3}{4}G$

$$\phi = -\frac{GM}{r} e^{-mr}, \quad \psi = -\frac{GM}{2r} e^{-mr} \delta_{ij}$$

Even in the limit  $m \rightarrow 0$ , predictions will be different than GR

This is the vDVZ (van Dam, Veltman, Zakharov) discontinuity

# Origin of the Vainshtein mechanism

## Stückelberg trick

Formalism to expose the origin of this discontinuity

Taking  $m \rightarrow 0$  in the equations does not yield to a smooth limit, because degrees of freedom are lost

To find the correct limit, the trick is to introduce new fields into the theory in a way that does not alter the theory and then the limit can be found in which no degrees of freedom are gained or lost

$$S = \int d^4x \left[ -\frac{1}{2} \partial_\lambda h_{\mu\nu} \partial^\lambda h^{\mu\nu} + \partial_\mu h_{\nu\lambda} \partial^\nu h^{\mu\lambda} - \partial_\mu h^{\mu\nu} \partial_\nu h + \frac{1}{2} \partial_\lambda h \partial^\lambda h - \frac{1}{2} m^2 (h_{\mu\nu} h^{\mu\nu} - h^2) + h_{\mu\nu} T^{\mu\nu} \right]$$

The first part of the action is invariant under linear diffeomorphism

$$h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu \xi_\nu + \partial_\nu \xi_\mu$$

Let us do the following transformation  $h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu A_\nu + \partial_\nu A_\mu$

It is not sufficient to decouple all degrees of freedom, so we do  $A_\mu \rightarrow A_\mu + \partial_\mu \phi$  and  $h_{\mu\nu} \rightarrow h_{\mu\nu} + \phi \eta_{\mu\nu}$

$$S = \int d^4x \left[ -\frac{1}{2} \partial_\lambda h_{\mu\nu} \partial^\lambda h^{\mu\nu} + \partial_\mu h_{\nu\lambda} \partial^\nu h^{\mu\lambda} - \partial_\mu h^{\mu\nu} \partial_\nu h + \frac{1}{2} \partial_\lambda h \partial^\lambda h - \frac{1}{2} m^2 (h_{\mu\nu} h^{\mu\nu} - h^2) - \frac{1}{2} F_{\mu\nu} F^{\mu\nu} - \frac{1}{2} (\partial\phi)^2 - \frac{1}{2} m^2 \phi^2 + h_{\mu\nu} T^{\mu\nu} + \phi T \right]$$

$$S = \int d^4x \left[ -\frac{1}{2} \partial_\lambda h_{\mu\nu} \partial^\lambda h^{\mu\nu} + \partial_\mu h_{\nu\lambda} \partial^\nu h^{\mu\lambda} - \partial_\mu h^{\mu\nu} \partial_\nu h + \frac{1}{2} \partial_\lambda h \partial^\lambda h - \frac{1}{2} F_{\mu\nu} F^{\mu\nu} - \frac{1}{2} (\partial\phi)^2 + h_{\mu\nu} T^{\mu\nu} + \phi T \right]$$

# Origin of the Vainshtein mechanism

Why so much interest

$$S = \int d^4x \left[ -\frac{1}{2} \partial_\lambda h_{\mu\nu} \partial^\lambda h^{\mu\nu} + \partial_\mu h_{\nu\lambda} \partial^\nu h^{\mu\lambda} - \partial_\mu h^{\mu\nu} \partial_\nu h + \frac{1}{2} \partial_\lambda h \partial^\lambda h - \frac{1}{2} m^2 (h_{\mu\nu} h^{\mu\nu} - h^2) \right] + S_m$$

$$\square h_{\mu\nu} - \partial_\lambda \partial_\mu h^\lambda_\nu - \partial_\lambda \partial_\nu h^\lambda_\mu + \eta_{\mu\nu} \partial_\lambda \partial_\sigma h^{\lambda\sigma} + \partial_\mu \partial_\nu h - \eta_{\mu\nu} \square h - m^2 (h_{\mu\nu} - \eta_{\mu\nu} h) = -T_{\mu\nu}$$

In the case  $T_{\mu\nu} = \Lambda \eta_{\mu\nu}$  and taking double derivative

$$\partial^{\mu\nu} h_{\mu\nu} - \square h = 0$$

Which means

$$R = 0$$

So the curvature scalar is zero in presence of a cosmological constant, this would be an example of degravitation

But the theory has a discontinuity

Vainshtein proposed that non-linearities could solve the problem

# Origin of the Vainshtein mechanism

## Massive general relativity

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$$

$$S = \int d^4x \left[ \sqrt{-g} R - \sqrt{-\bar{g}} \frac{m^2}{2} \bar{g}^{\mu\alpha} \bar{g}^{\nu\beta} (h_{\mu\nu} h_{\alpha\beta} - h_{\mu\alpha} h_{\nu\beta}) \right]$$

But the theory has now 6 degrees of freedom and the additional one is a ghost (Boulware-Deser ghost)

Fortunately, there exist one potential U without a ghost, dRGT model

It has Vainshtein screening

Doesn't admit flat FLRW solution

D'Amico et al. [arXiv:1108.5231](#)

Exist open FLRW solution

Gumrukcuoglu et al. [arXiv:1109.3845](#)

Exist isotropic/inhomogeneous solutions

Gratia et al. [arXiv:1205.4241](#)

But all these solutions contain a ghost

F. Kuhnel [arXiv:1208.1764](#)

# Origin of the Vainshtein mechanism

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Because of these problems, massive gravity has been extended

Background metric  $\bar{g}_{\mu\nu}$  is dynamical, bi-metric theory

S. F. Hassan, R. A. Rosen [arXiv:1109.3515](#)

Multi metric theory

K. Hinterbichler, R. A. Rosen [arXiv:1203.5783](#)

The mass is not constant but it's a scalar field, quasi-dilaton massive gravity (D'Amico et al. 2013)

The model has bouncing, turnaround or cyclic solutions

[R.G. et al. arXiv:1304.5095](#)

To date, there is no (extended) massive gravity model which possesses a completely satisfactory self-acceleration solution

But it opens the door to degravitation  
a large cosmological constant does not strongly curve space-time

[N. Arkani-Hamed et al. arXiv:hep-th/0209227](#)

Any theory that exhibits degravitation must reduce to a theory of massive/resonance gravity (continuum of massive gravitons) at linearized level

G. Dvali et al. [arXiv:hep-th/0703027](#)

# Vainshtein mechanism

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Vainshtein mechanism seems stronger than chameleon

In time-dependent situations, Vainshtein mechanism has been found to be less efficient than around static sources

Y-Z Chu, M. Trodden ([arXiv:1210.6651](https://arxiv.org/abs/1210.6651))

Possible way to find a degravitation model

No satisfactory model for the moment

Obrigado